

# Do Scaled Ground Motion Records Cause Biased Nonlinear Structural Responses?



**By**

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Earthquake Risks***

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
# Problem Statement

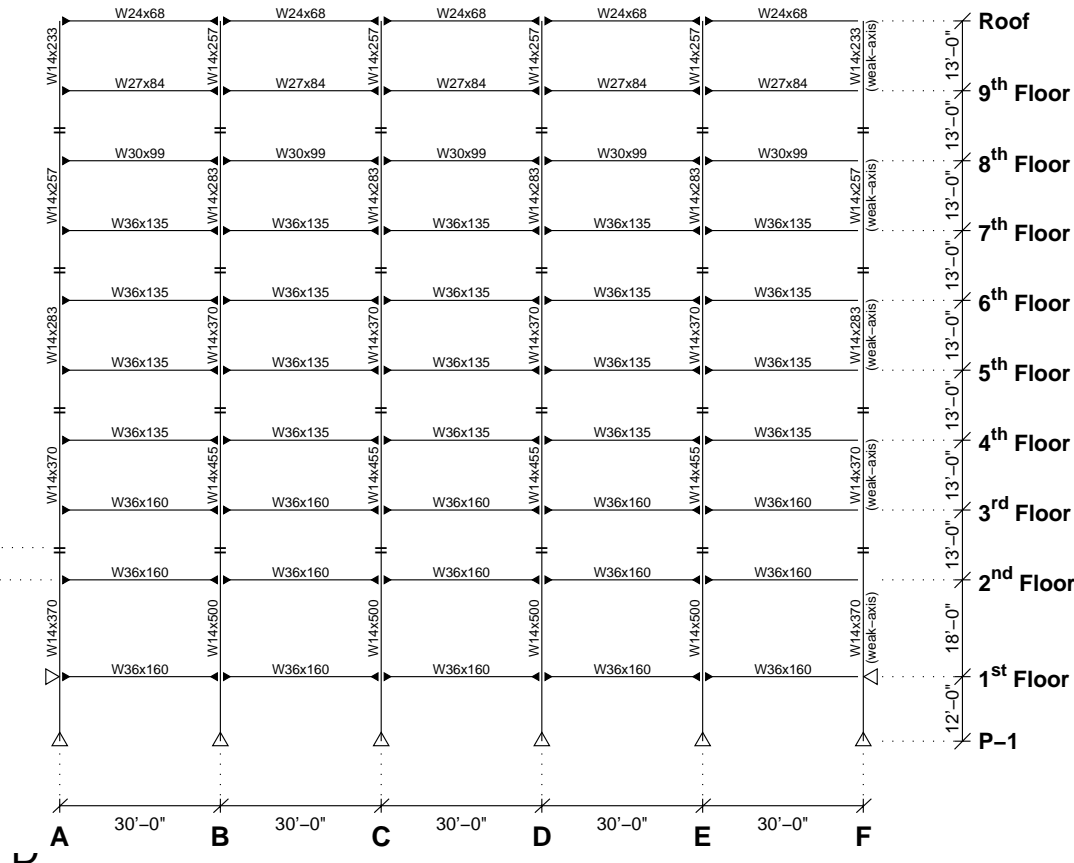
## Given:

1) A nonlinear structural model to be dynamically analyzed (design or evaluation) at a specific site.

2) A grc

3) An ea  
and c  
atten

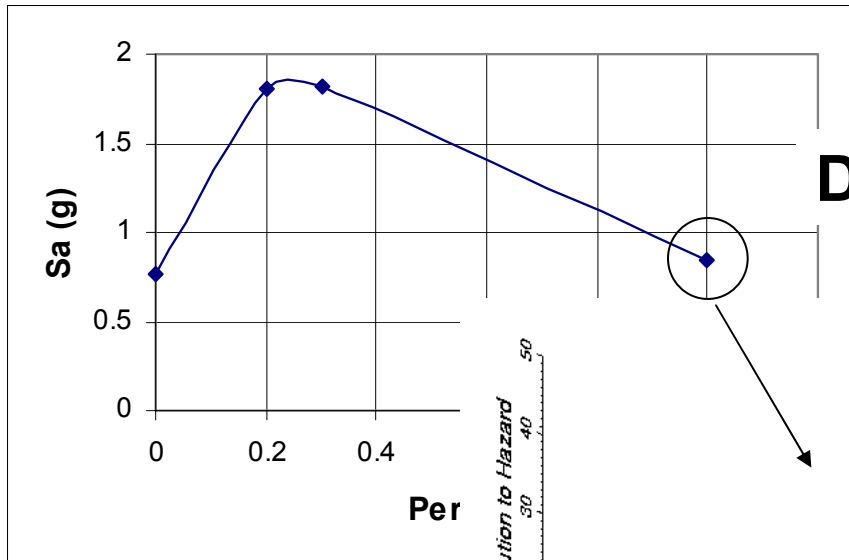
e.g.,  Typ. Splice



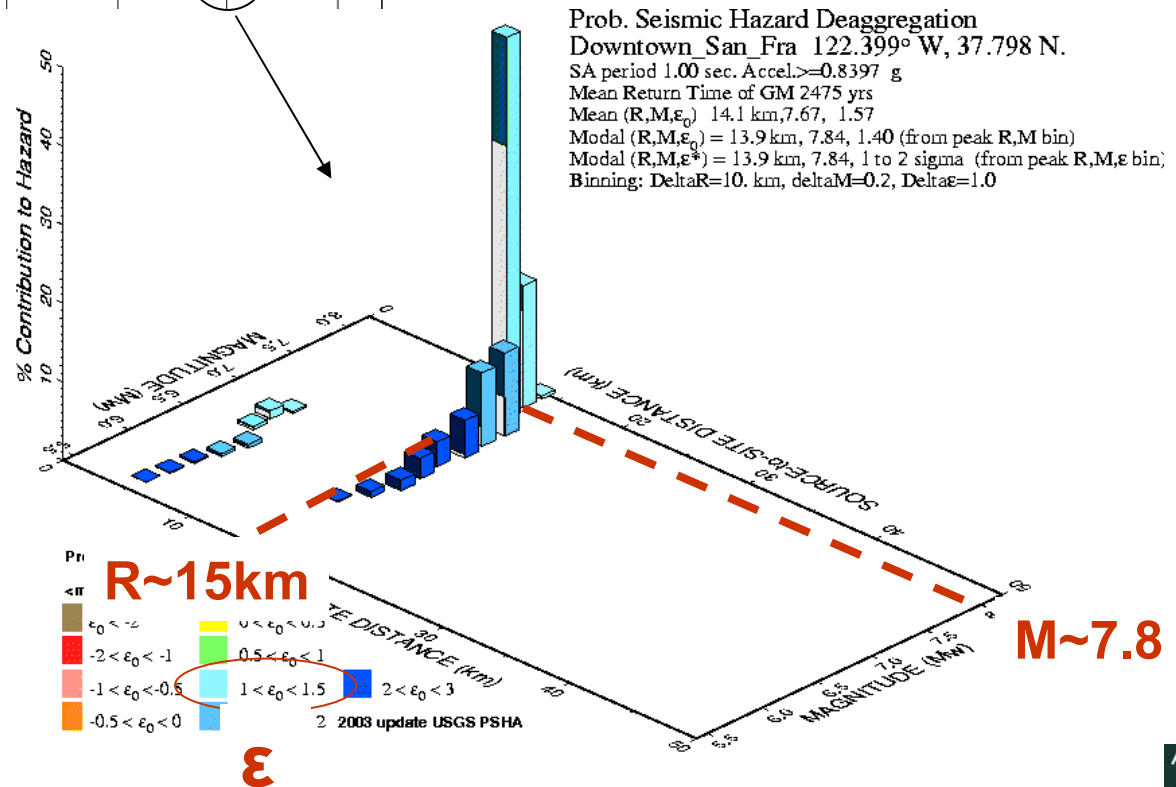
ance (R),  
a.,

# Possible Genesis of a Target Response Spectrum

## 2%/50yr Uniform Hazard Spectrum for San Francisco



**Disaggregation**



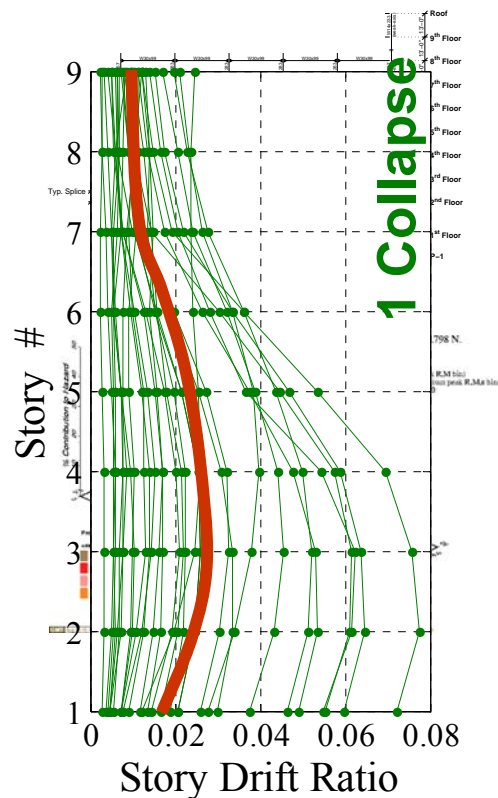
# Problem Statement (cont'ed)

## Given:

- 1) A nonlinear structural model to be dynamically analyzed.
- 2) An earthquake magnitude (M), source-to-site distance (R), and  $S_a(T_1)$  level

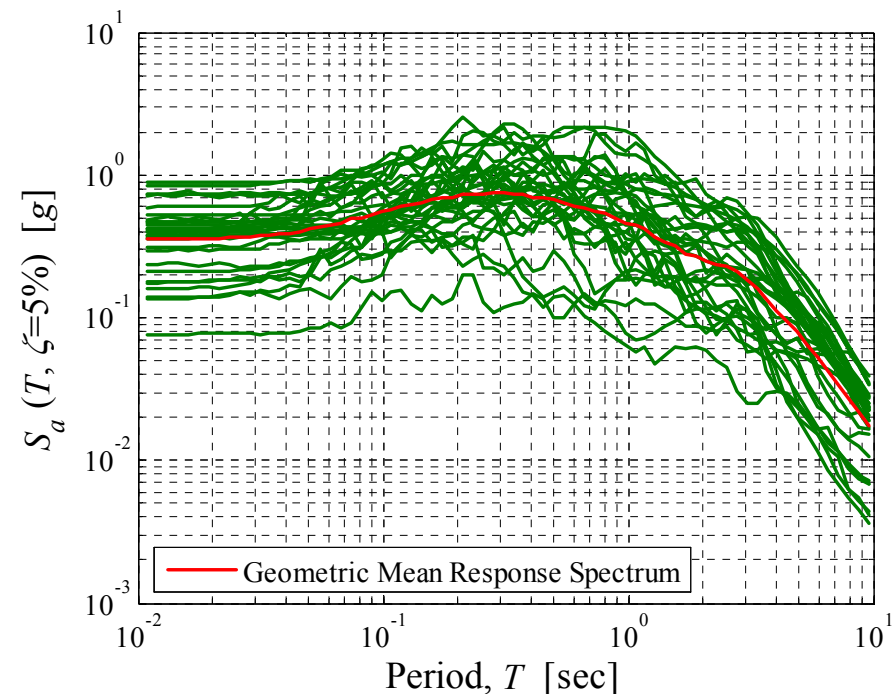
## Find:

- The “average” (geometric mean) nonlinear structural response for the target ground motion.  
e.g., story drift ratios  $\equiv$  differential horizontal displ. of floors



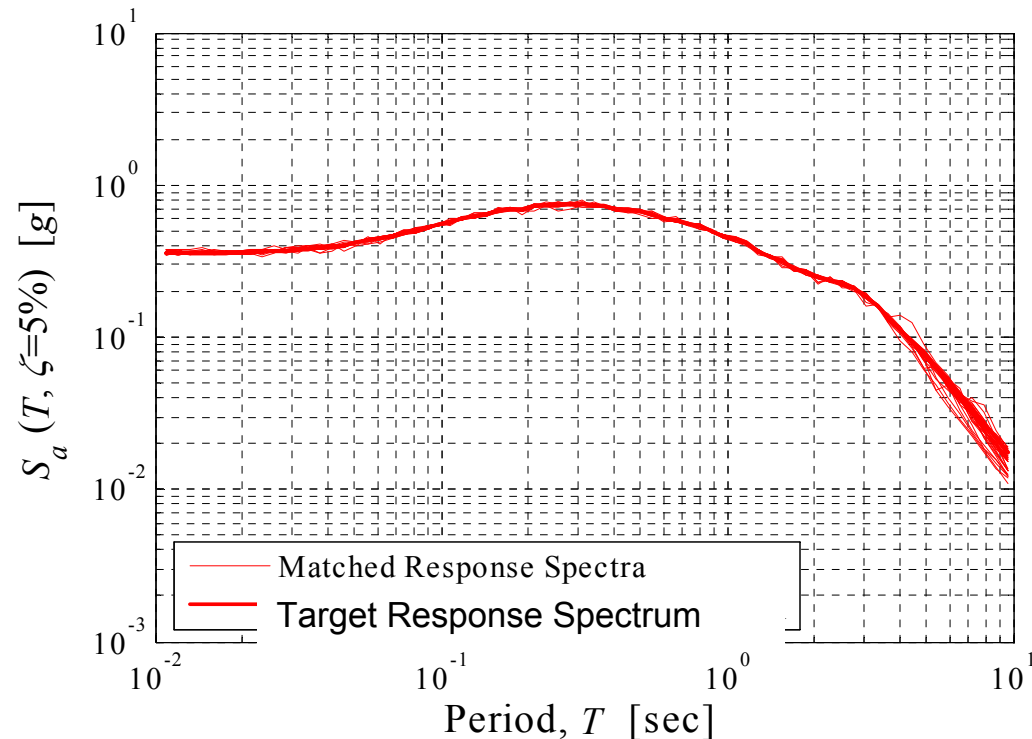
# Availability of Ground Motion Records of given $M$ , $R$ , $S_a(T_1)$

- ❑ Next Generation Attenuation (NGA) Project has about 3,500 “uniformly” processed three-component recordings
  
- ❑ In many practical applications:
  - $M$  large
  - $R$  is short
  - $S_a(T_1)$  is high
  
- ❑ “Right” records are **scarce**



# Alternative No 1: Spectrum matching

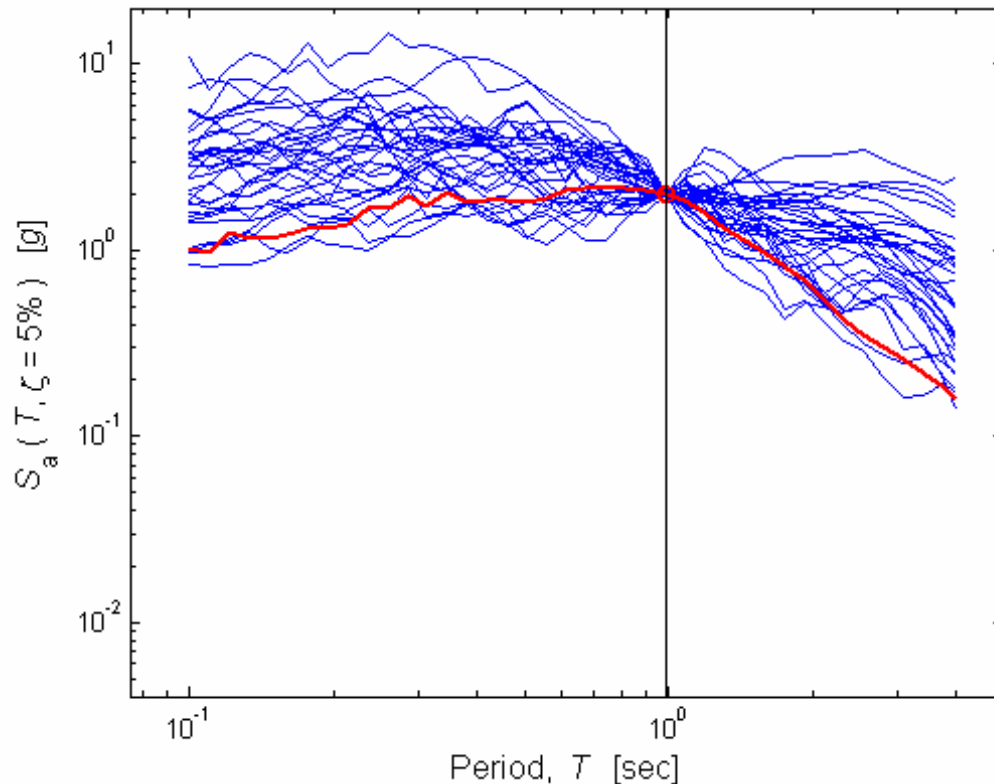
- Spectrum match earthquake records to “appropriate” target spectrum of given  $M$ ,  $R$ , and  $S_a(T_1)$ , e.g.,



- Perform nonlinear dynamic analyses and calculate the geometric mean response

# Alternative No 2: Amplitude Scaling

- Scale (in amplitude only) the earthquake records to  $S_a(T_1)$ , e.g., when  $T_1 = 1.0$  sec.,



- Perform nonlinear dynamic analyses and calculate the geometric mean response

# Use of Scaled Records for NL Dynamic Analyses

- Is that a legitimate operation or does it introduce bias in median and dispersion of the structural response?

$$\text{Bias} = \frac{\text{median structural response to scaled records}}{\text{median structural response to unscaled records naturally at target } S_a}$$

- If there is a bias, does it depend
  - Scale factor
  - characteristics of the target ground motion scenario (e.g.,  $M$  and  $R$ ),
  - characteristics of the source records
  - vibration period(s) of the structure of interest
  - strength of the structure (i.e., level of response nonlinearity)
  - contribution of higher (than the first) vibration modes to the structural response.
- Are there records that are better candidate than others for scaling?



# Analyses Setup: Bins of Ground Motion Records

- Intra-bin Scaling: “right” M and R but “wrong” (i.e., lower)  $S_a(T_1)$  level

Bin Label	$M_w$	$R_{close}$
I	6.4 to 6.8	0 to 15km
II	6.4 to 6.8	15 to 30km
III	6.4 to 6.8	30 to 50km
IV	6.9 to 7.6	0 to 15km
V	6.9 to 7.6	15 to 30km
VI	6.9 to 7.6	30 to 50km

**73 records each**

+ Near Source Bin: as Bin I but forward directivity and orthogonal component.

**31 records**

- Inter-bin Scaling: “wrong” M, and/or R, and/or  $S_a(T_1)$  level

Scenario #	Source Bin	Target Bin
1	I	IV
2	II	IV
3	V	IV
4	II	V
5	III	V
6	VI	V
7	III	VI
8	III	I
9	I	Near-Source
10	Near-Source	I



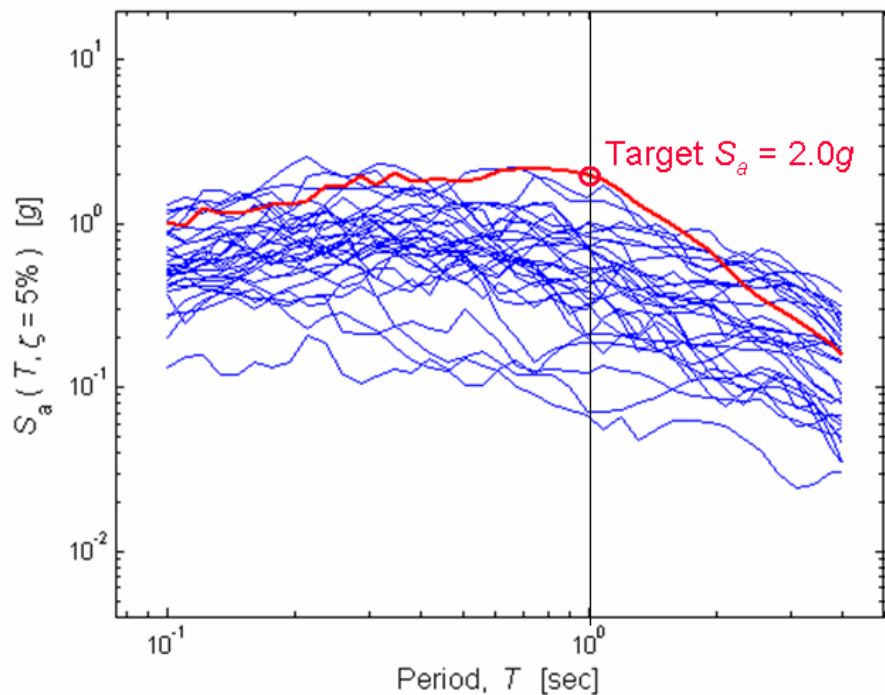
# Measures of Structural Response

- ❑ SDOF systems: peak inelastic displacement (inelastic spectral displacement),  $S_d^i$
  
- ❑ MDOF Building ( $T_1=2.3s$ ,  $\nu_1=2\%$  of critical):
  - the peak roof drift ratio,  $\theta_{\text{roof}}$  (i.e., peak roof displacement normalized by the building height),
  - the maximum peak (over time) inter-story drift ratio over all stories,  $\theta_{\text{max}}$
  
- ❑ NOTES:
  - SDOF results are for constant R (yield strength varies from record to record). About 2M runs
  - MDOF results are for a fixed strength (about 6,500 runs)

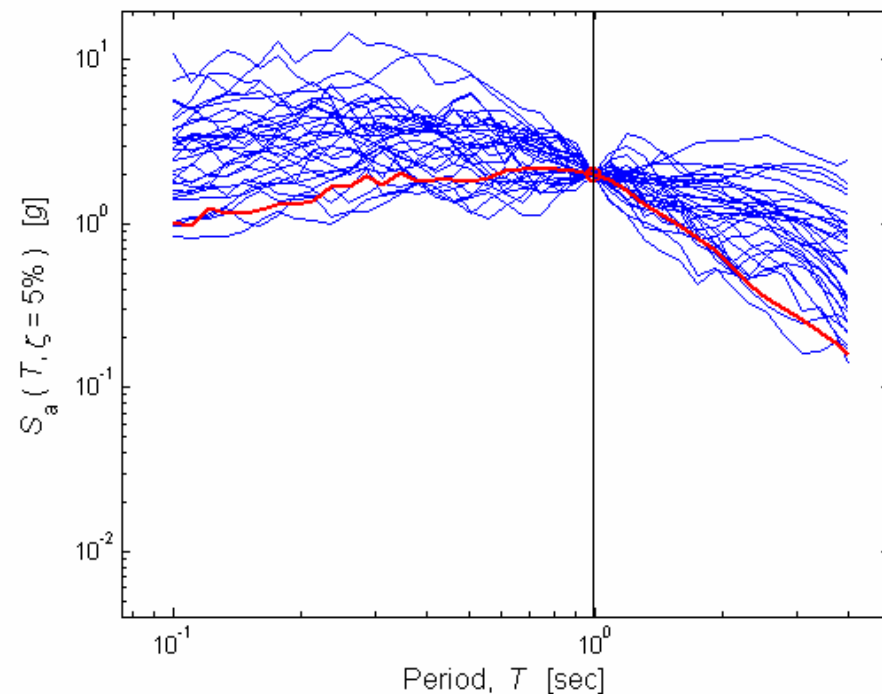
# Procedure for Quantifying Bias due to Scaling

- ❑ Select first target  $S_a$  for scaling and compute response
- ❑ Scale all other records in the “source” bin to the target  $S_a$  and keep track of scaling factor, SF, values

31 "Near-Source" Recordings



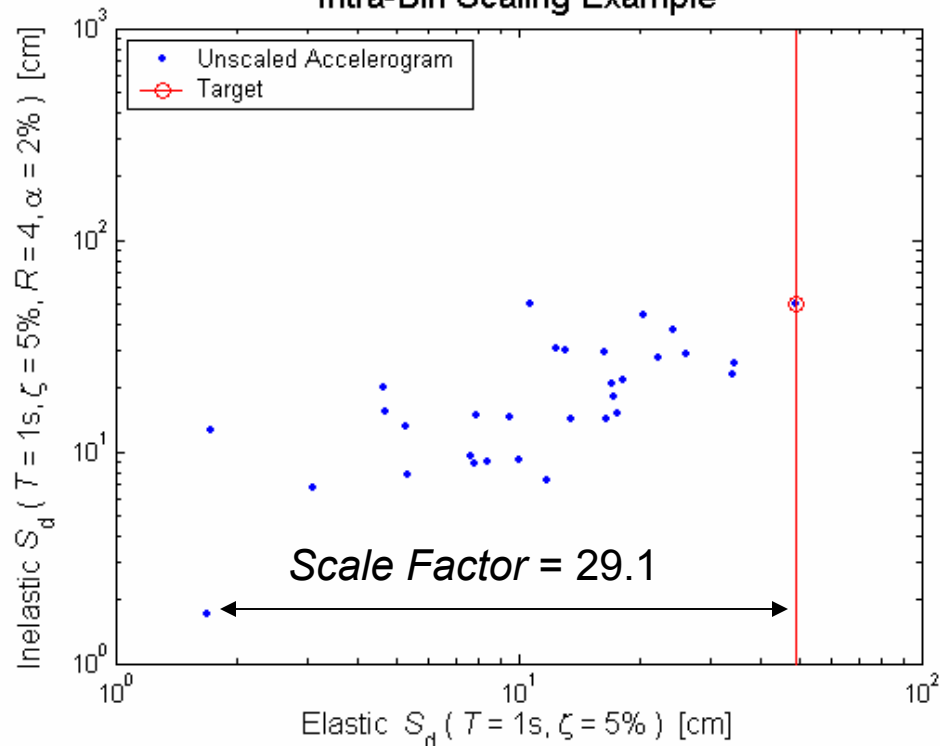
31 "Near-Source" Recordings



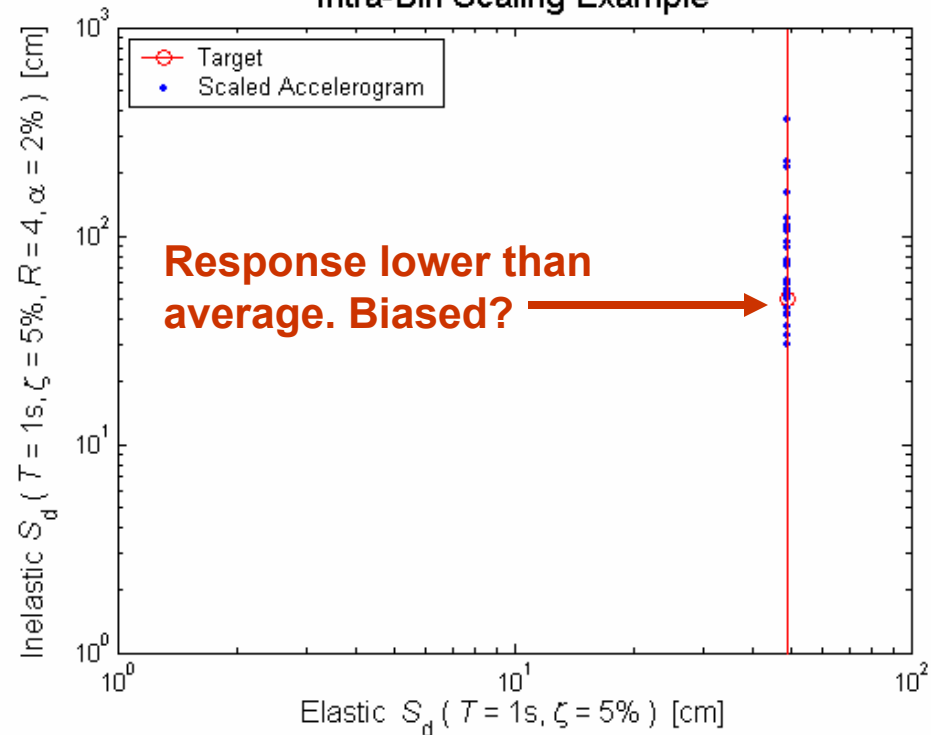
**NOTE:** results shown are for intra bin scaling: Near Source Record Bin, Moderate Strength ( $R=4$ ) and Period ( $T=1s$ )

# Response Plotted vs. Elastic $S_d$

### Intra-Bin Scaling Example



### Intra-Bin Scaling Example



# Ratio of Responses Plotted vs. Scale Factor

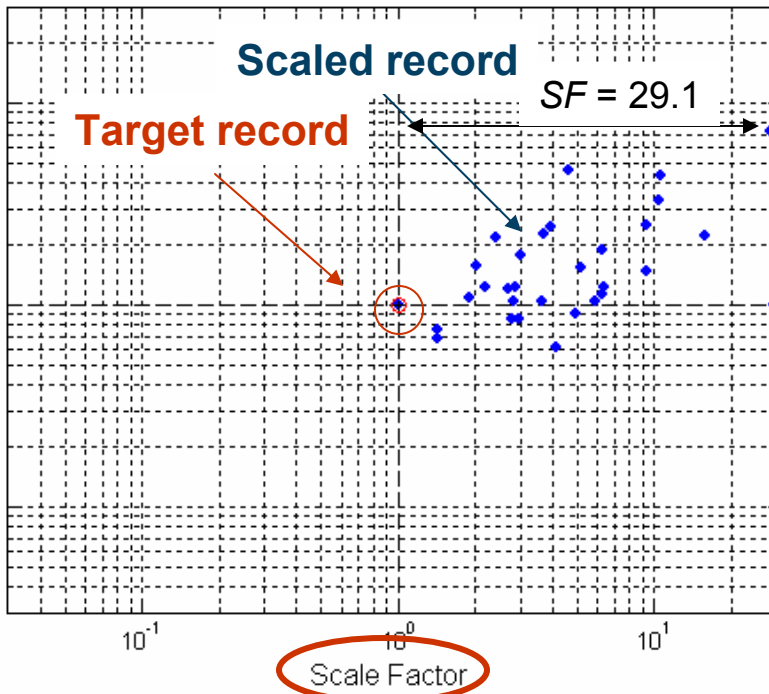
$$\text{BIAS} = a \text{ SF}^b$$

fitted line that gives the bias in median  $S_d^j$  for a given scale factor

Bias if different than 1

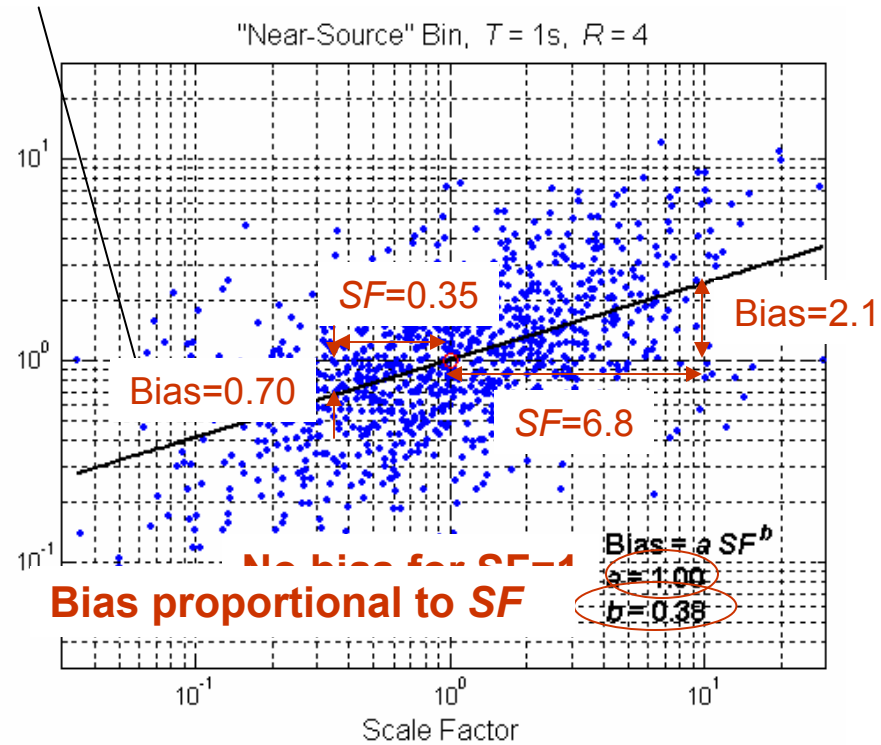
"Near-Source" Bin,  $T = 1\text{s}$ ,  $R = 4$

Scaled / Unscaled  $S_d$  ( $T, \zeta = 5\%$ ,  $R, \alpha = 2\%$ )



"Near-Source" Bin,  $T = 1\text{s}$ ,  $R = 4$

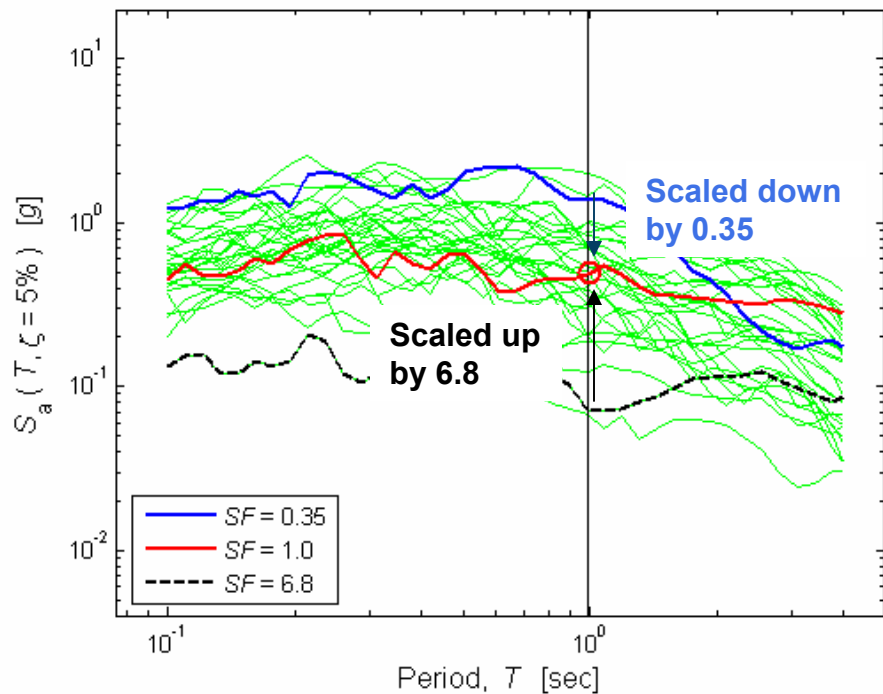
Scaled / Unscaled  $S_d$  ( $T, \zeta = 5\%$ ,  $R, \alpha = 2\%$ )



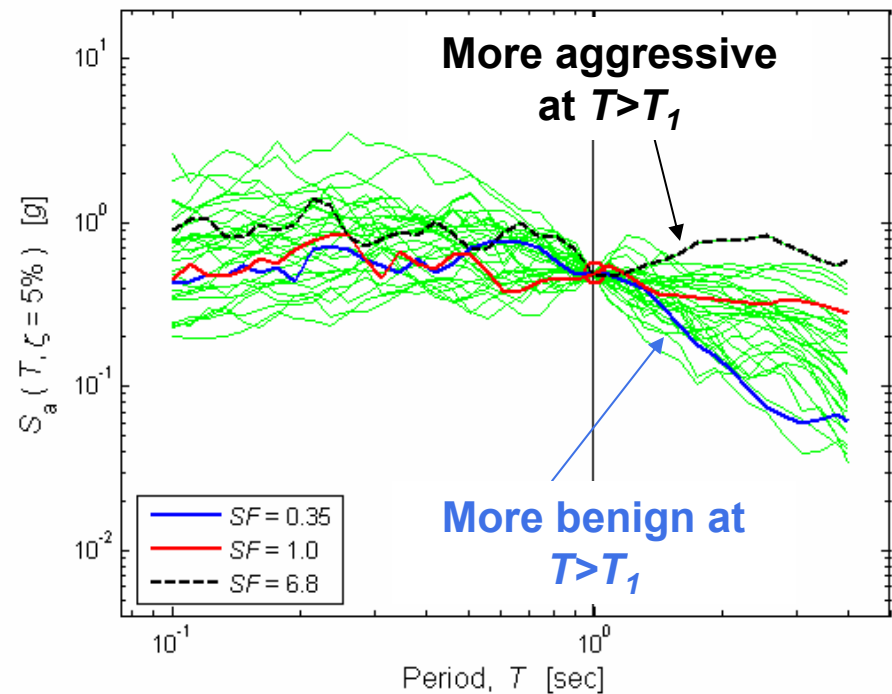
# Yes, There Is Bias? Why?

- Difference in spectral shape. On average
  - “valley” records are scaled up
  - “peak” records are scaled down

31 "Near-Source" Recordings



31 "Near-Source" Recordings

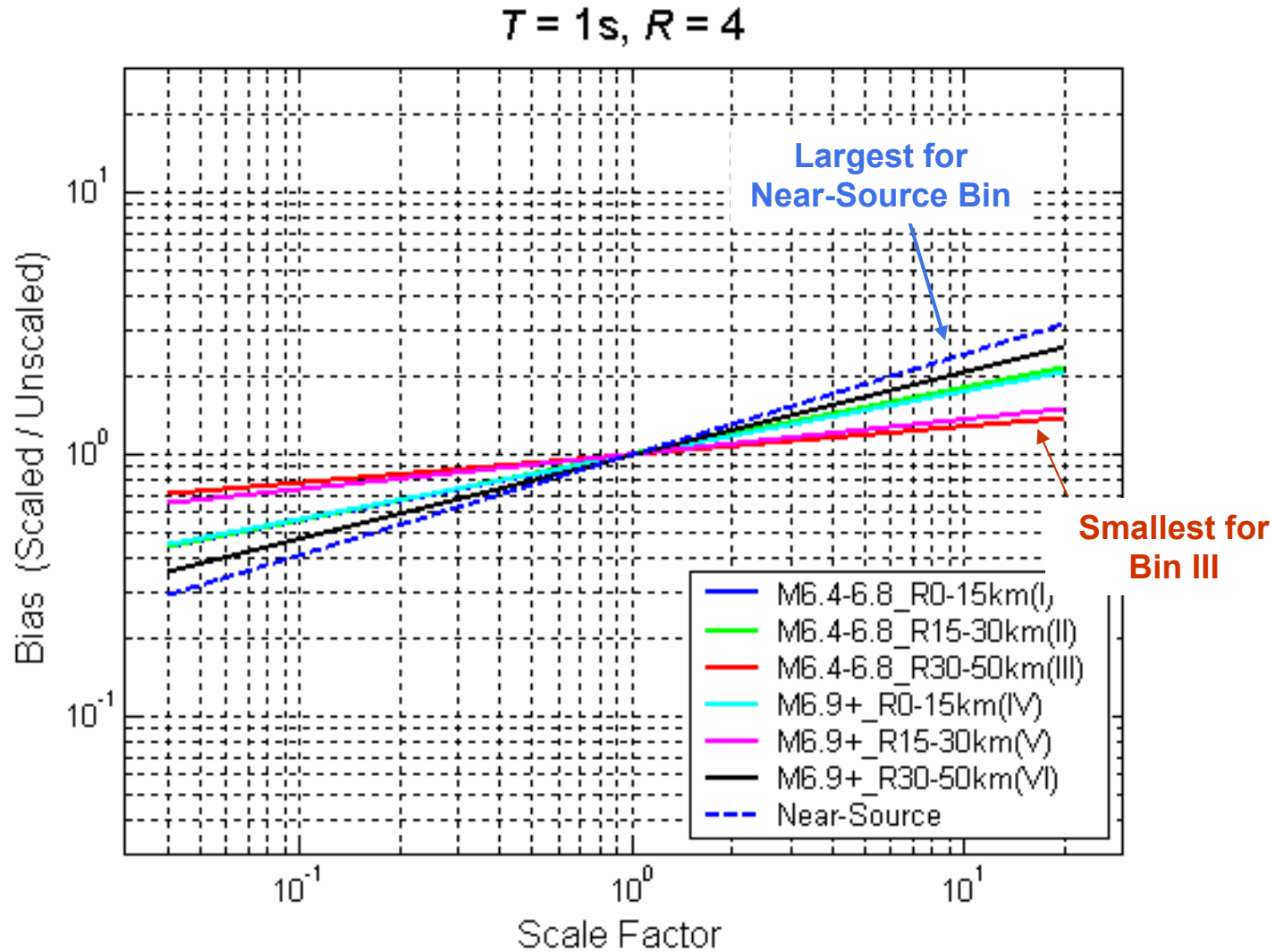


# Three Meanings for This Response Bias

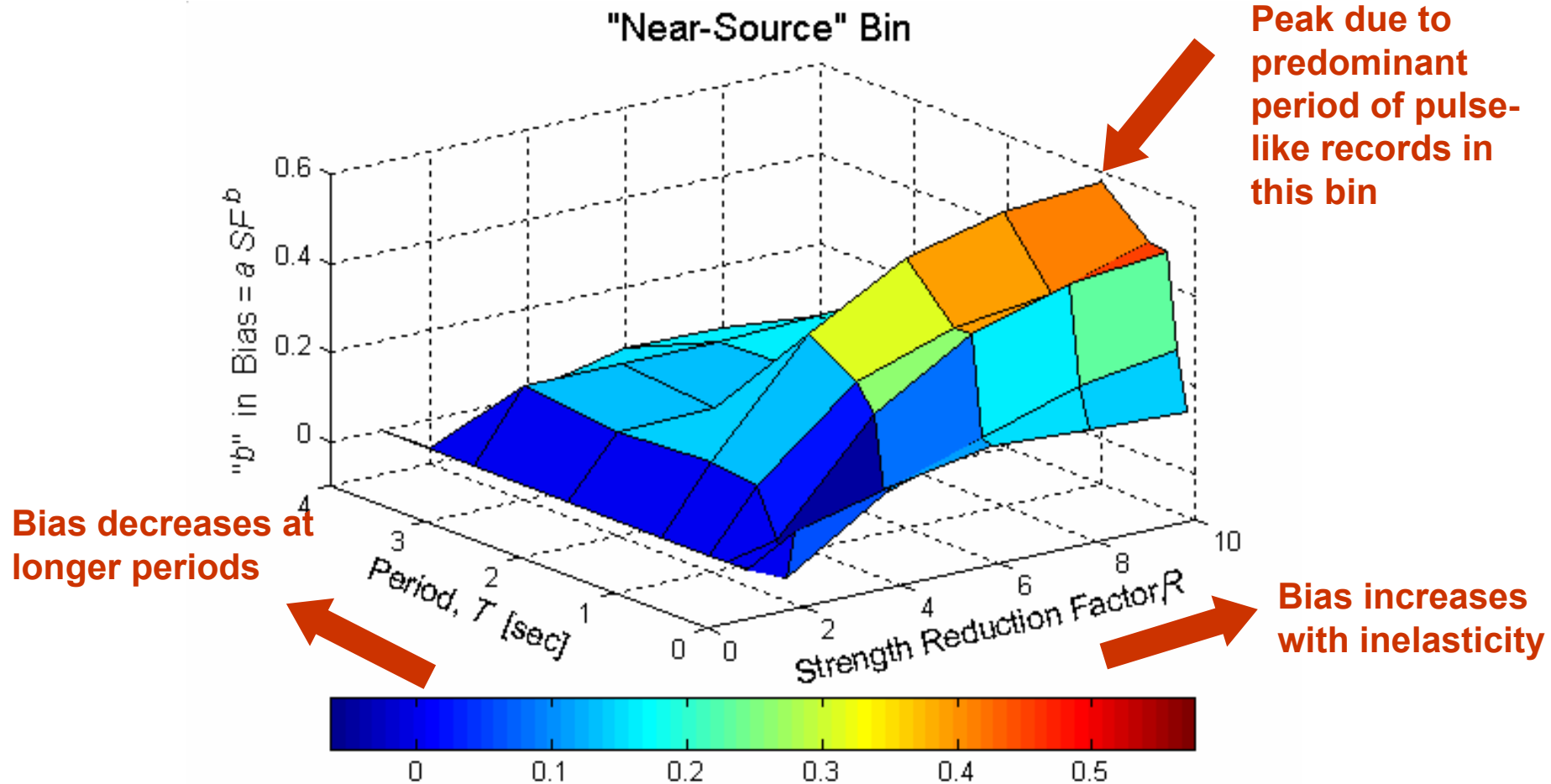
- This response bias applies to the median response of
  - Randomly selected record scaled by a  $SF=x$
  - A suite of records all scaled by the same  $SF=x$
  - A suite of records that, on average, are scaled by the same  $SF=x$  but with different scaling factors for each single record (à la Cornell)



# Intra-Bin Scaling: Bias for $T=1s$ , $R=4$ SDOF, All Bins



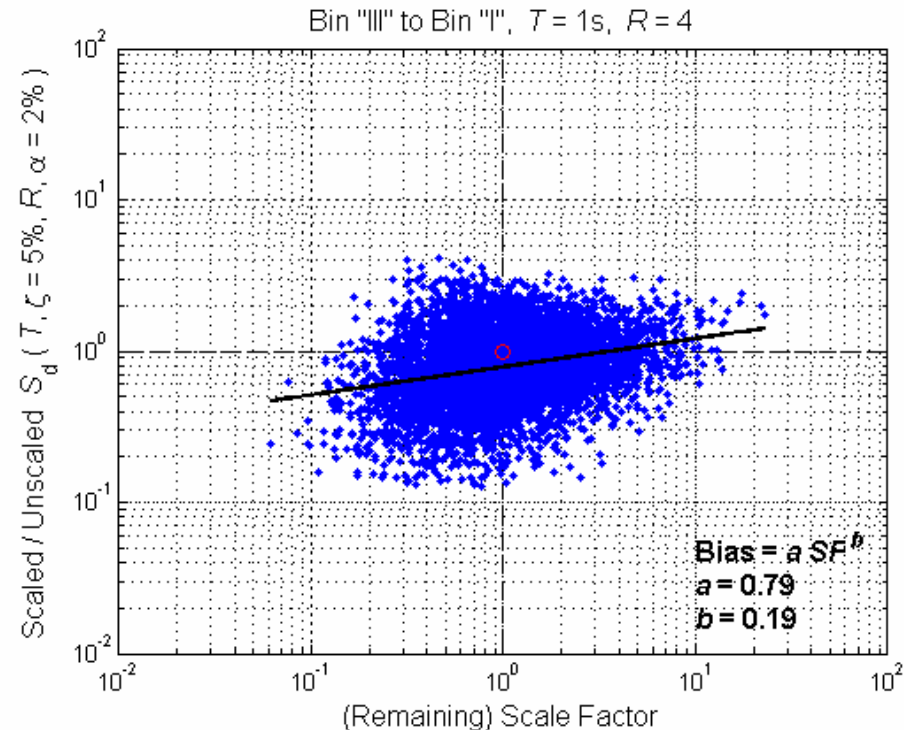
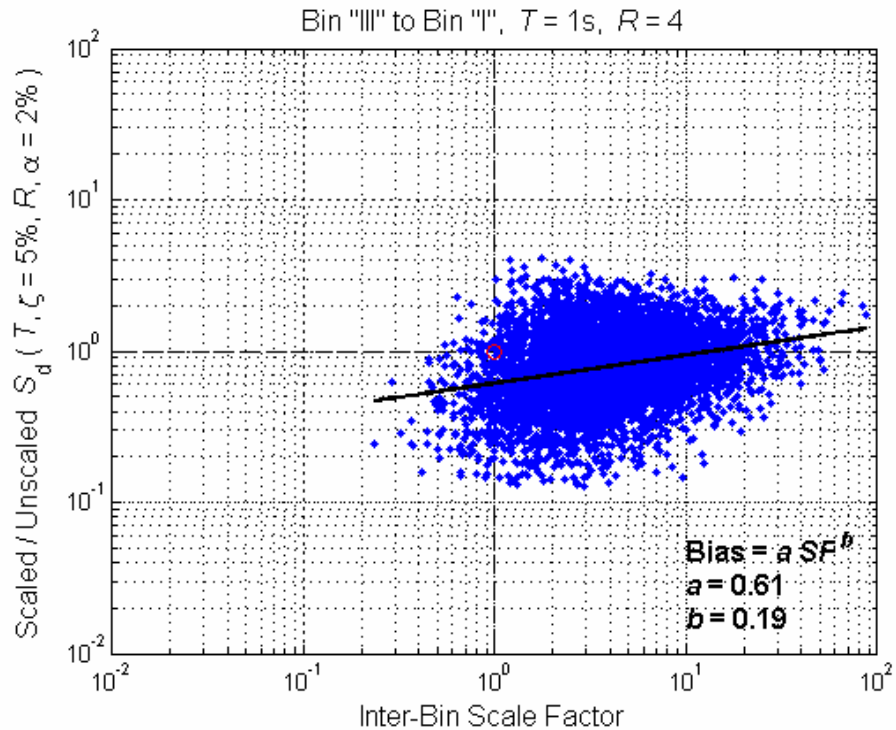
# Intra-Bin Scaling: Bias for All SDOFs, Near-Source Bin



NOTE:  $a=1$  for all SDOFs in equation  $BIAS=a SF^b$

# Inter-Bin Scaling: $T=1s$ , $R=4$ SDOF, Bin III to Bin I

- Bin III ( $M=6.4$  to  $6.8$ ;  $R=30$  to  $50\text{km}$ ) is weaker than Bin I ( $M=6.4$  to  $6.8$ ;  $R=0$  to  $15\text{km}$ )



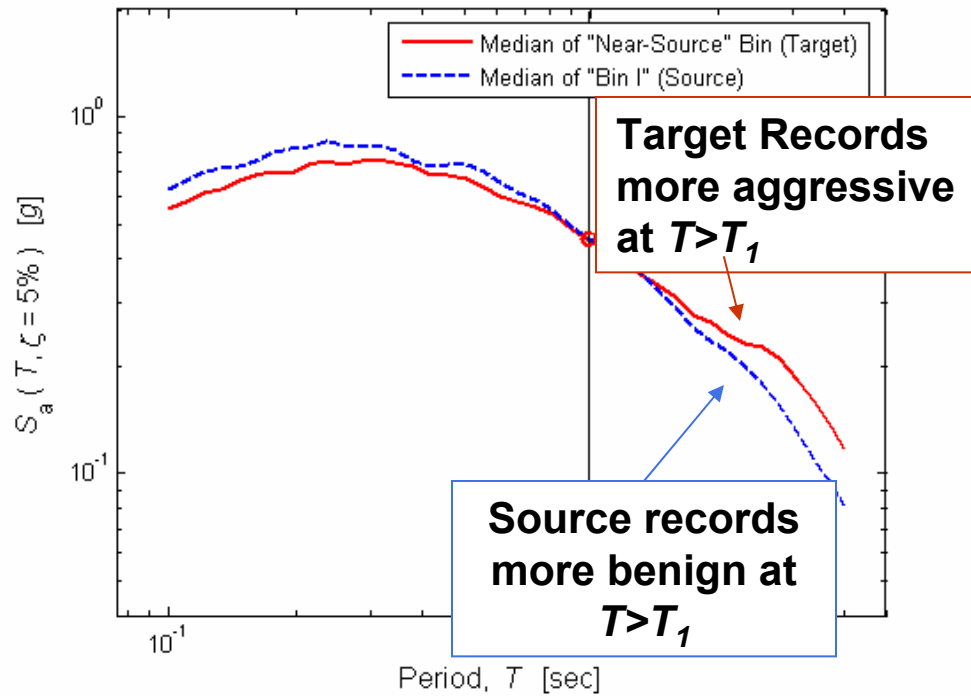
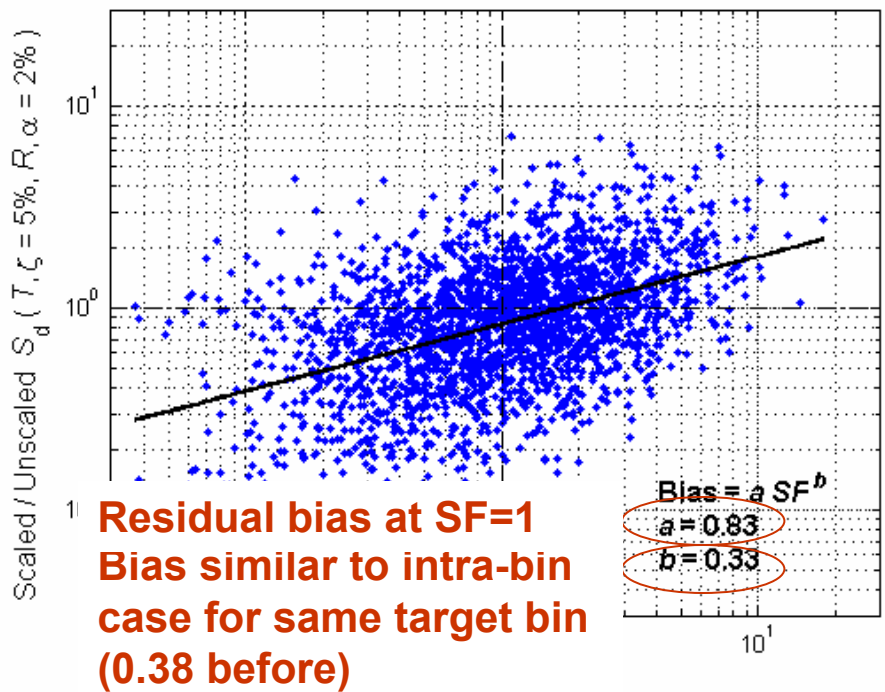
$$SF_{\text{inter-bin}} = \underbrace{r(m[S_a])}_{\text{Ratio of median Sa's target/source}} * \underbrace{SF_{\text{(remaining)}}}_{\text{Remaining scaling factor (as in the intra-bin case)}}$$

Ratio of median  
Sa's target/source

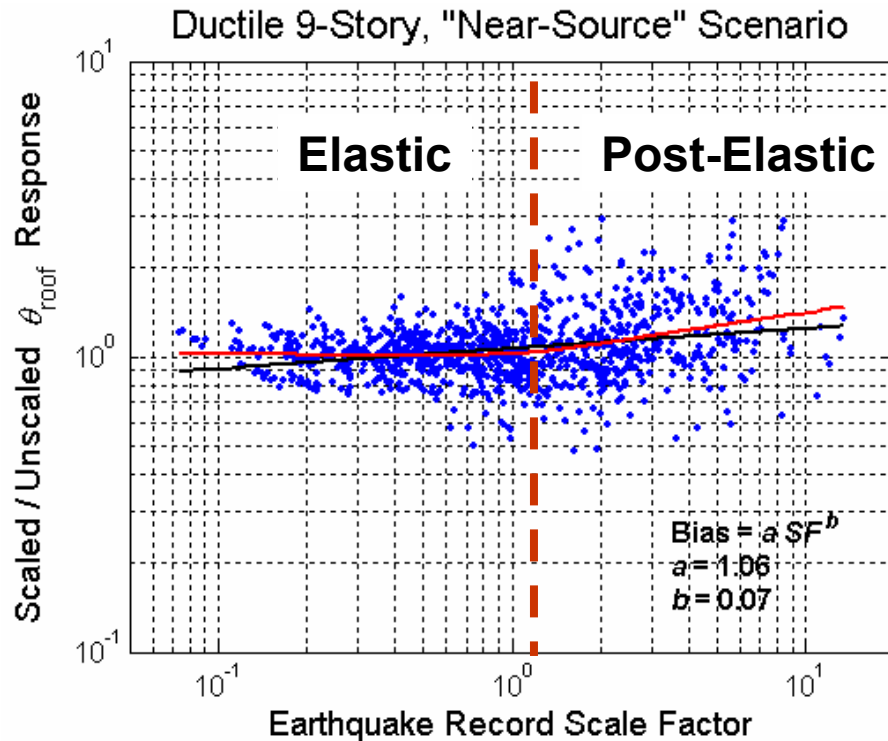
Remaining scaling  
factor (as in the  
intra-bin case)

# Inter-Bin Scaling: $T=1s$ , $R=4$ SDOF, Bin III to Bin I

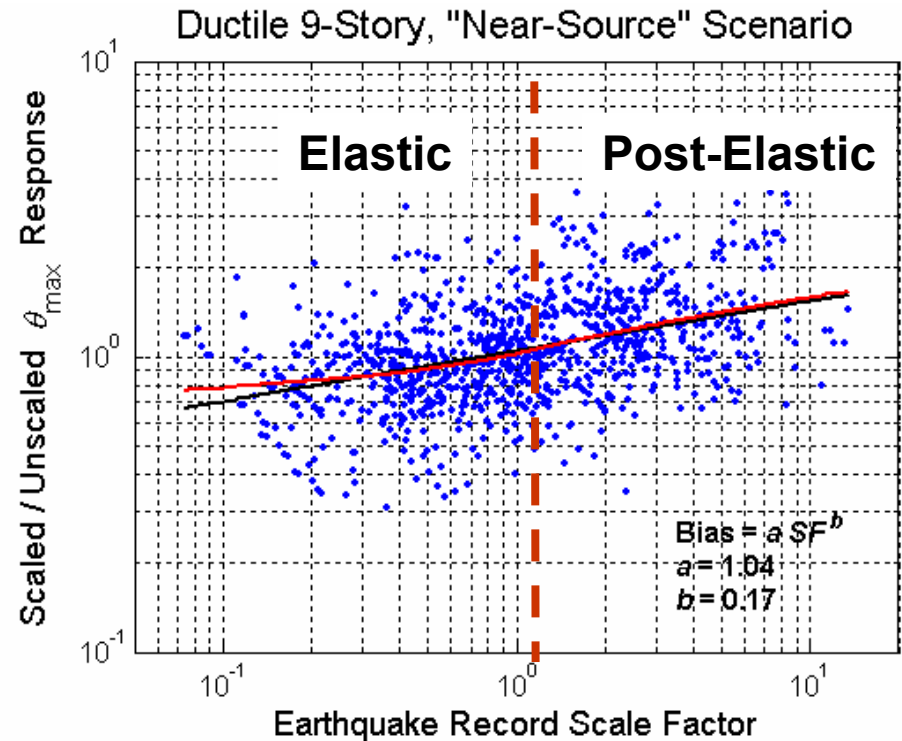
"Bin I" to "Near-Source" Bin,  $T = 1s$ ,  $R = 4$



# MDOF Structure: Intra Bin Scaling, Near-Source Bin

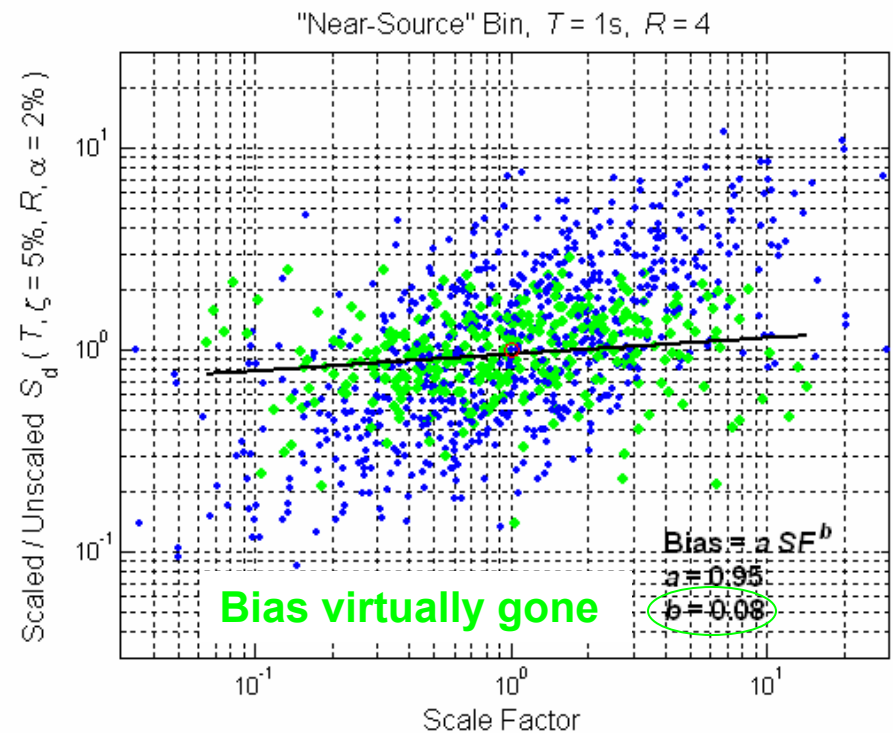
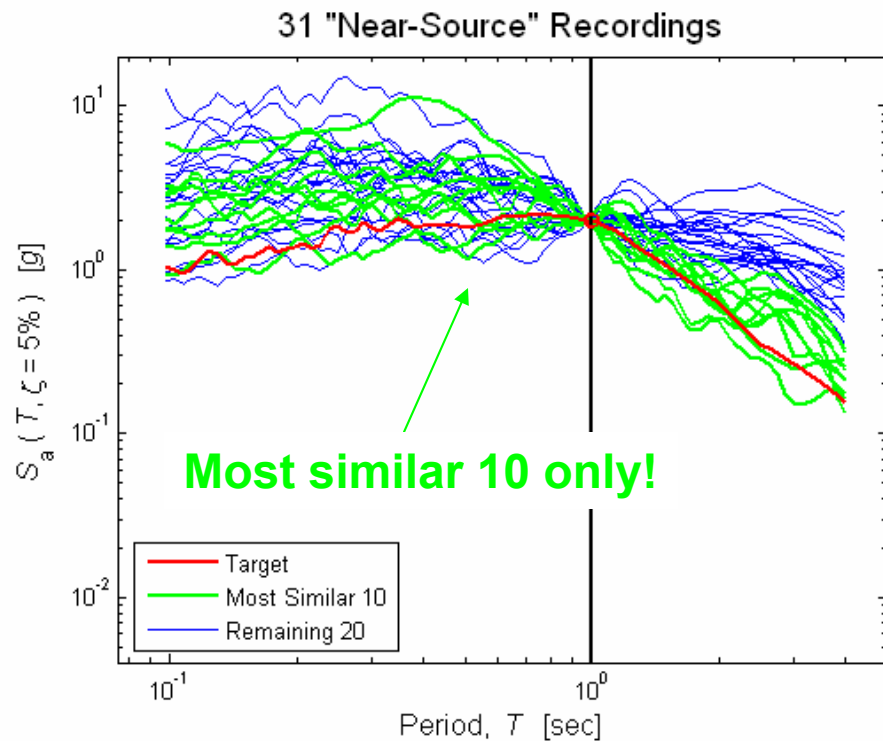


$\theta_{roof}$  is first-mode dominated  
No bias in the elastic range.  
Small bias in the post-elastic range



$\theta_{max}$  is sensitive to higher modes  
Bias is larger and is in the elastic case too due to differences in spectral shapes (at  $T < T_1$  this time!)

# How Can the Bias be reduced?



# Conclusions

- ❑ Scaling a randomly selected record induces bias in nonlinear response (conditional on  $M$ ,  $R$ , and  $S_a$  level)
- ❑ Bias depends on
  - Scale factor
  - The fundamental period of the structure
  - The overall strength of the structure
  - The sensitivity of the response measure to higher modes
  - The ground motion scenario (e.g.,  $M$  and  $R$ ) of the records that are scaled
- ❑ Inter-bin scaling bias is comparable to intra-bin scaling bias for the target  $M$  and  $R$  bin case. However, there is usually an additional bias due to pre-scaling to median  $S_a$  of target bin
- ❑ Judicious selection of source records reduces considerably the response bias
- ❑ The results of this study can serve as a basis to place limits on the amount of scaling that is acceptable for a given structure (alternatively, correct response for bias)