

# Interdisciplinary Workshop on Management of Earthquake Risks

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## DAMAGE ASSESSMENT OF CURRENT BUILDINGS AT TERRITORIAL SCALE:

### A MECHANICAL MODEL CALIBRATED ON A MACROSEISMIC VULNERABILITY MODEL

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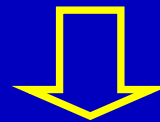
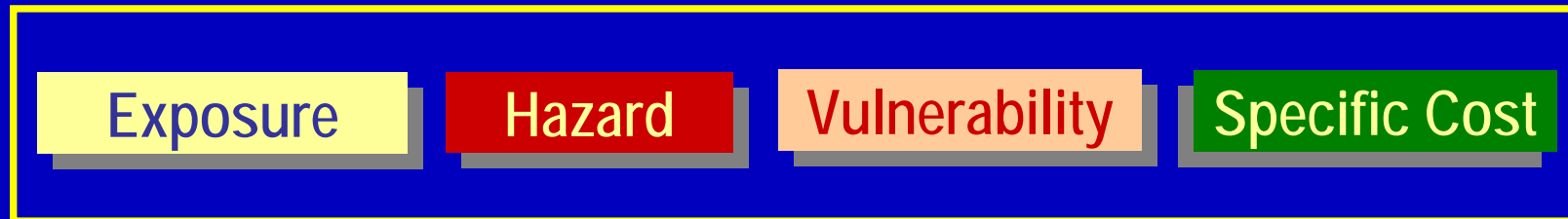




5th Framework European Commission Project

*An advanced approach to earthquake risk scenarios with applications to different European towns*

The aim of the projects was to develop a comprehensive modular methodology to create earthquake scenarios and risk analysis, concentrating on the distinctive features of the European cities with regard to current and historical buildings



The proposal for vulnerability methods within the modular methodology targeted by the Risk-UE project, had to respect the requirements of the others work-packages

## EXPOSURE

- *A typological classification system has been assumed judged to account for and to represent the characteristic features of the European building typologies*

<b>Unreinforced Masonry</b>	
M1	Rubble stone
M2	Adobe (earth bricks)
M3	Simple stone
M4	Massive stone
M5	U Masonry (old bricks)
M6	U Masonry - r.c. floors

<b>Reinforced/confined masonry</b>	
M7	Reinforced/confined masonry

<b>Reinforced Concrete</b>	
RC1	Concrete Moment Frame
RC2	Concrete Shear Walls
RC3	Dual System

- *The different availability of data has been considered*

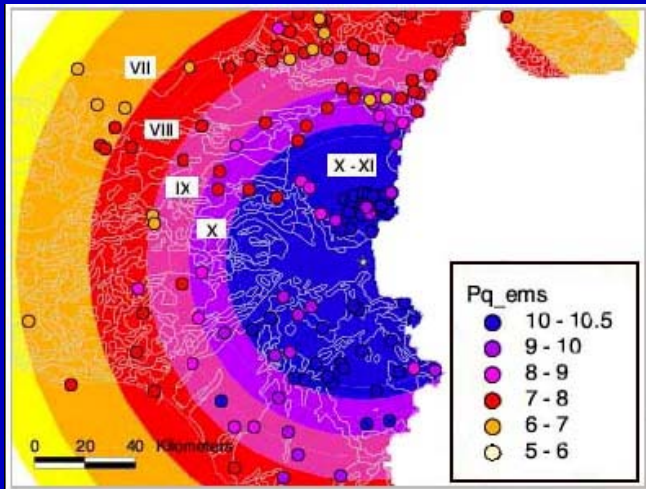
**Level 0** Number of buildings and statistical knowledge of the main features

**Level 1** Existing database with information non specifically surveyed for vulnerability purposes.

**Level 2** Detailed information about the typology and the geometrical, structural and technological features from a survey specifically devoted to the vulnerability assessment

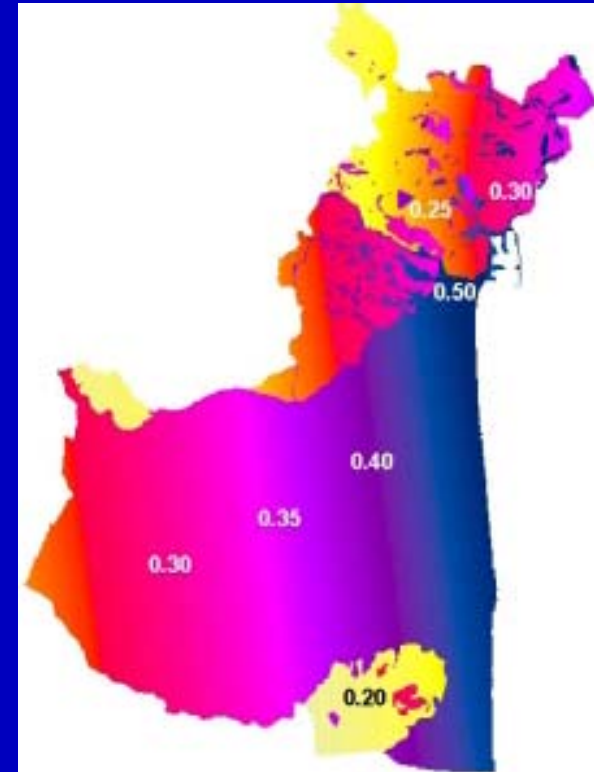
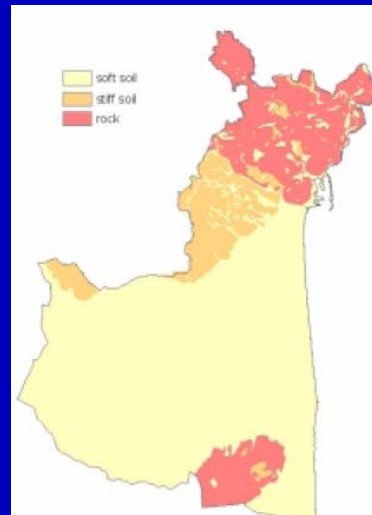
# HAZARD

Hazard scenarios implemented both in terms of macroseismic intensity and in terms of physical parameters (e.g. PGA peak ground acceleration and response spectra)



*EMS intensity distribution for the 1693 earthquake, derived with the attenuation relation of Grandori et al. (1991)*

*three ground profile classes*



*PGA[g] values for 1693 event*

# VULNERABILITY

The state of the art in the field of seismic vulnerability approaches, available for Europe at the starting date of the project, classified vulnerability methods depending on their genesis

## Observational methods:

DPM (Damage Probability Matrix), based on observed vulnerability. Implicitly contained in the macroseismic scale definition

### Seismic input:

Intensity

### Damage representation:

Observed damage 5 damage grades: D1 - D5

## Mechanical methods:

Capacity Spectrum Method: vulnerability represented by building capacity curve; demand-capacity comparison  $\Rightarrow$  performance evaluation

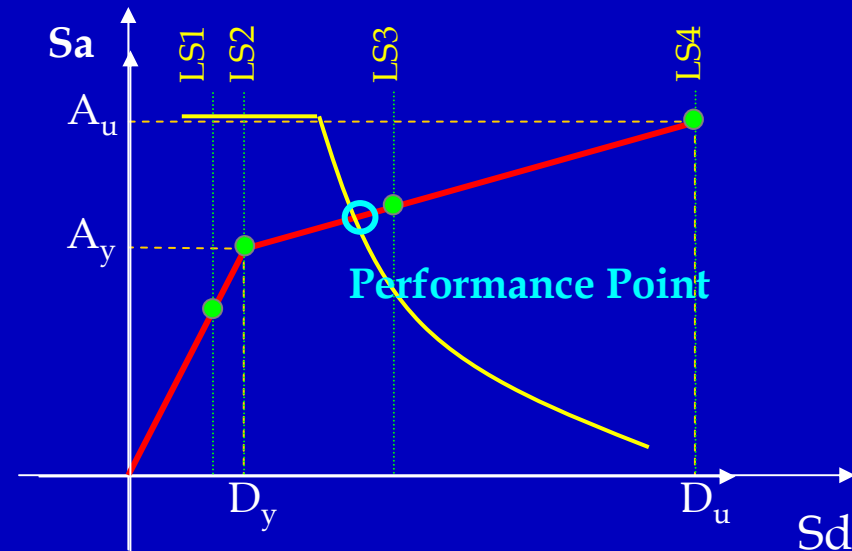
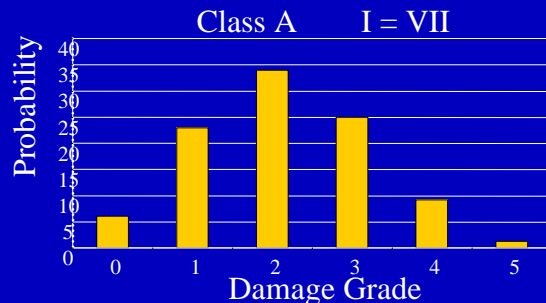
### Seismic input:

ADRS - Acceleration Displacement Response Spectra

### Damage representation:

4 Damage Limit States (performance levels)

	V	VI	VII	VIII	IX	X
0	0,90	0,18	0,06	1,5E03	5,4E05	2,7E06
1	0,092	0,37	0,23	2,0E02	1,6E03	1,6E04
2	0,038	0,296	0,34	0,108	2,0E02	3,9E03
3	7,6E05	0,117	0,25	0,287	0,12	4,6E02
4	7,8E07	0,023	9,2E02	0,38	0,38	0,28
5	3,2E09	0,0018	1,3E02	0,20	0,47	0,67



Non-linear equivalent s.d.o.f. structure Capacity Curve

Acceleration-Displacement Response Spectrum

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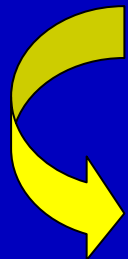
ADRS - Acceleration Displacement Response Spectra

#### **Damage representation:**

**4 Damage Limit States** (performance levels)

- The macroseismic method was originally developed by the authors (Giovinazzi and Lagomarsino, 2004) from the definition provided by the European Macroseismic scale EMS-98 (Grunthal, 1998)

- A capacity spectrum-based method was proposed, with capacity curves specifically defined for the European building typologies



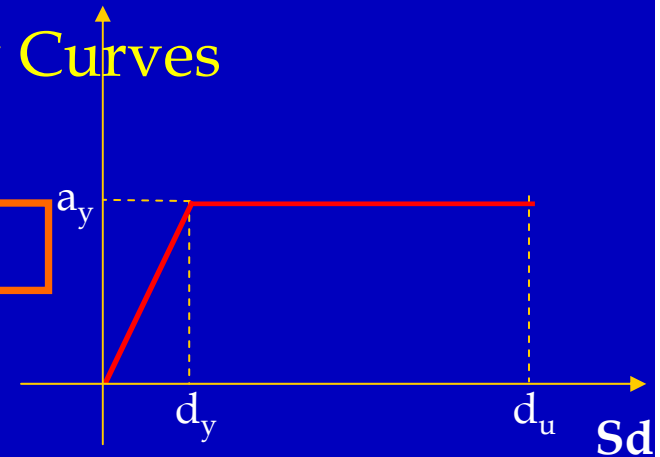
The proposed vulnerability methods have been compared and cross-validated



# Mechanical Method: Capacity Curves

Mechanical Models on prototype buildings

- Pushover Analyses
- Simplified Models



- $T$  Fundamental period [s]
- $a_y$  Yield strength [g]
- $\mu$  Ductility Capacity

The Capacity Curve parameters have been evaluated on the basis of factors able to identify the Building Typology with regard to:

## *Geometrical features*

$N$  - Floor Number

$h$  inter-story height

$\alpha, \beta_T$  resistant area

## *Technological features*

$\tau_K$  characteristic shear strength

$\gamma$  material density

$q$  m<sup>2</sup> floor load

## *Dynamic behaviour*

$m$  modal mass coefficient

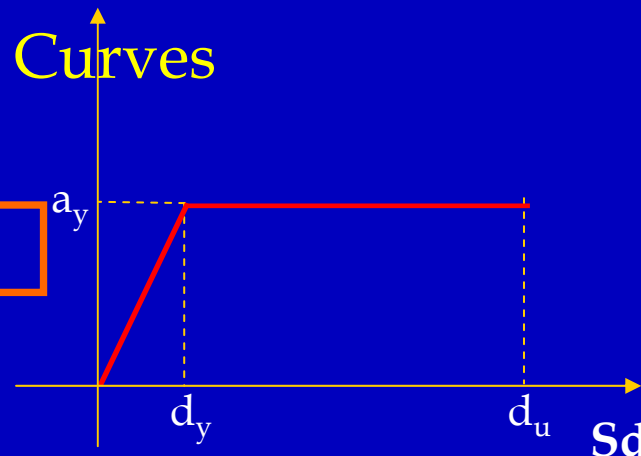
$\Gamma$  modal participation factor

$\delta_u$  ultimate drift

# Mechanical Method: Capacity Curves

☐ Mechanical Models on prototype buildings

- Pushover Analyses
- Simplified Models



- $T$  Fundamental period [s]
- $a_y$  Yield strength [g]
- $\mu$  Ductility Capacity

$T$  True fundamental period

$$T = \theta \cdot h^{\frac{3}{4}} \quad \theta \text{ Defined for each typology}$$

$a_y$  Yield strength

soft-story collapse  
friction neglected

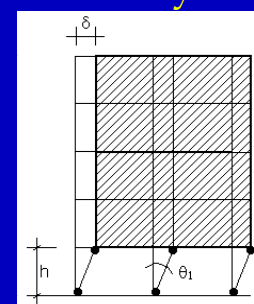
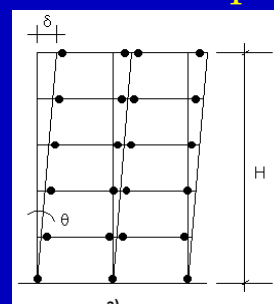
$$a_y = \frac{F}{mM}$$

$$a_y = \frac{0.5\tau_k \alpha \beta_T \xi}{m \left( Nq + \gamma \alpha h \sum_i^N \beta_i \right)}$$

$d_u$  ultimate displacement

uniform collapse

soft-story collapse



$$d_u = \delta_u \cdot h + d_y \left( 1 - \frac{\Gamma}{N} \right)$$

$$d_u = \delta_u \cdot \frac{Nh}{\Gamma}$$

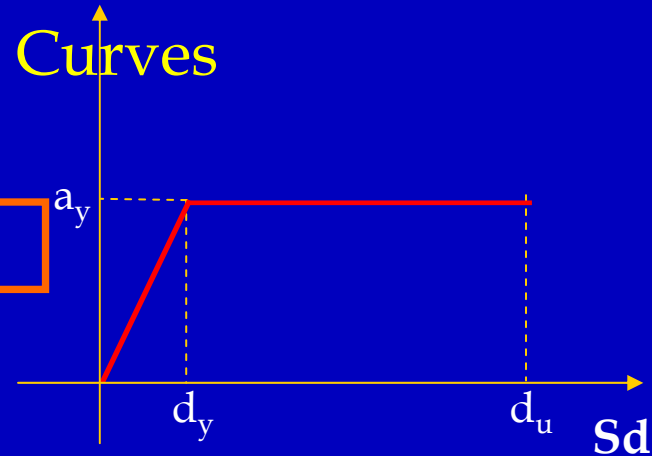


# Mechanical Method: Capacity Curves

☐ Mechanical Models on prototype buildings

- Pushover Analyses
- Simplified Models

☐ Derived from seismic design codes  
(for designed buildings)



- $T$  Fundamental period [s]
- $a_y$  Yield strength [g]
- $\mu$  Ductility Capacity

$a_y$  yield strength

$$a_y = \frac{F_D}{mM}$$

$$F_D = \left[ \frac{S_{ae}(T)}{q} \right] \frac{\gamma_m}{\alpha}$$

redundancies and conservatism in design and true strength of materials have to be considered

$T$  true fundamental period

$T = C_t \cdot h^{\frac{3}{4}}$   $C_t = 0.075$  moment resistant concrete frame

$C_t = 0.085$  moment resistant steel frame

$C_t = 0.05$  other structures

$\mu$  ductility  $q$  Behaviour factors

$$\mu = 1 + (q-1) \frac{T_c}{T} \quad T < T_c$$

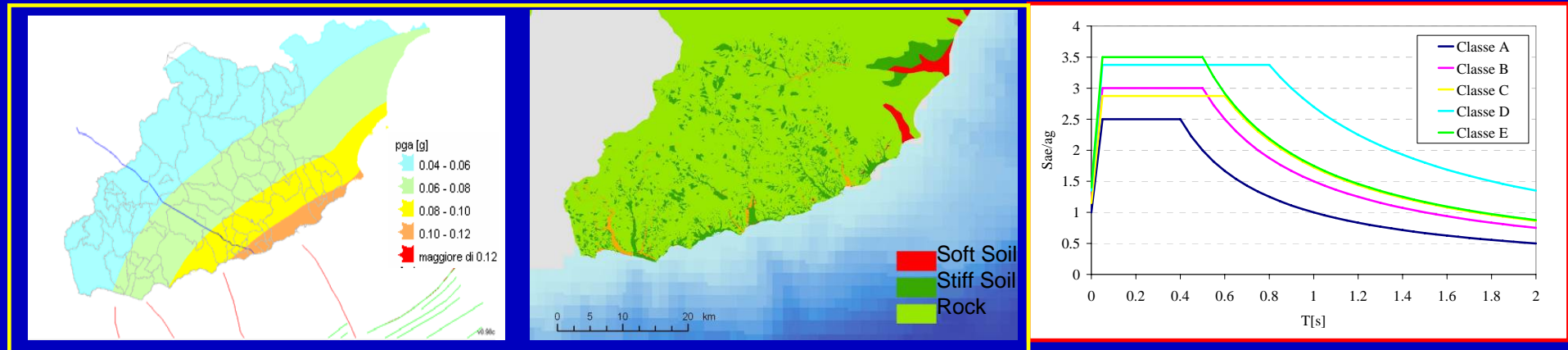
$$\mu = q \quad T > T_c$$

	Regular Structures		Irregular Structures	
	DCH	DCM	DCH	DCM
RC1	4.5	3.15	3.6	2.52
RC2	4	2.8	3.2	2.24
RC3	4	2.8	3.2	2.24

# Mechanical Method: Hazard Description

The use of simplified mechanical approach in the framework of a seismic risk analysis requires an hazard description in terms of an elastic response spectra  $S_{ac}(T)$  with a characteristic period  $T_C$  separating the periods of almost spectral acceleration ( $T < T_C$ ) by the almost constant spectral velocity range ( $T > T_C$ ).

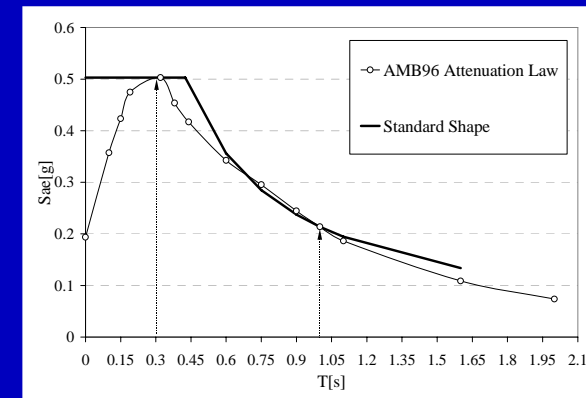
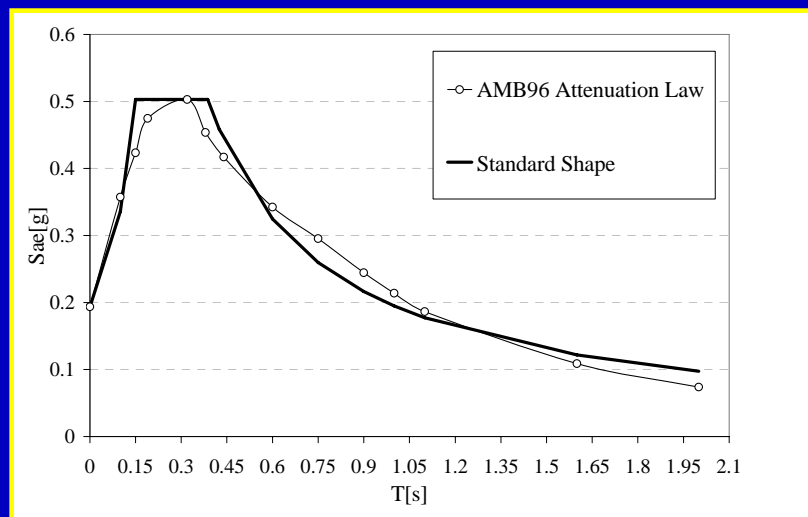
- Anchoring to hazard analysis, provided in terms of peak ground acceleration  $a_g$  predefined spectral shapes related to the local soil conditions.



# Mechanical Method: Hazard Description

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- Anchoring to hazard analysis, provided in terms of peak ground acceleration  $a_g$  predefined spectral shapes related to the local soil conditions.
- Fitting response spectra discrete values with a standard spectral shape.



$$A_{PE} = \frac{1}{2} \sum_{i=1}^{n-1} (S_{ae(T_{i+1})} + S_{ae(T_i)}) (T_{i+1} - T_i)$$

$$A_{SS} = 0.075(S_{ae(T=0)} + S_{ae(T=0.15)}) + (T_C - 0.15)S_{ae_{max}} + (\ln(2) - \ln(T_C))T_C S_{ae_{max}}$$

$$S_{ae}(T) = \begin{cases} S_{ae(T=0.3)} & 0 \leq T \leq T_C \\ S_{ae(T=0.3)} \frac{T_C}{T} & T_C < T \leq T_D \end{cases}$$

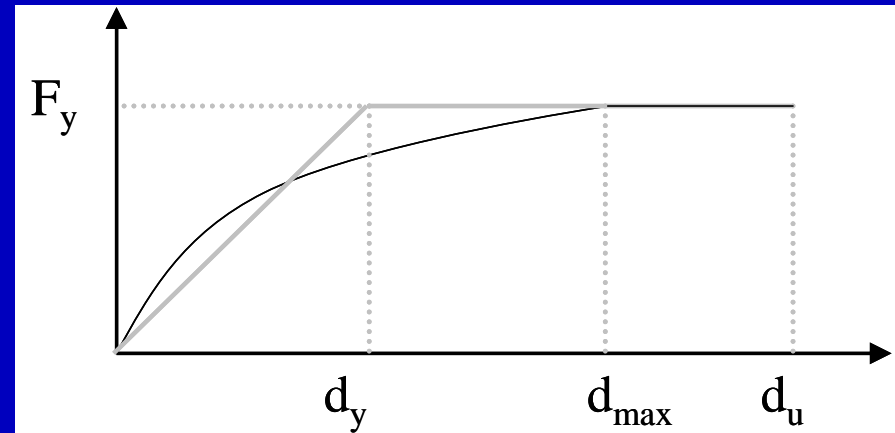
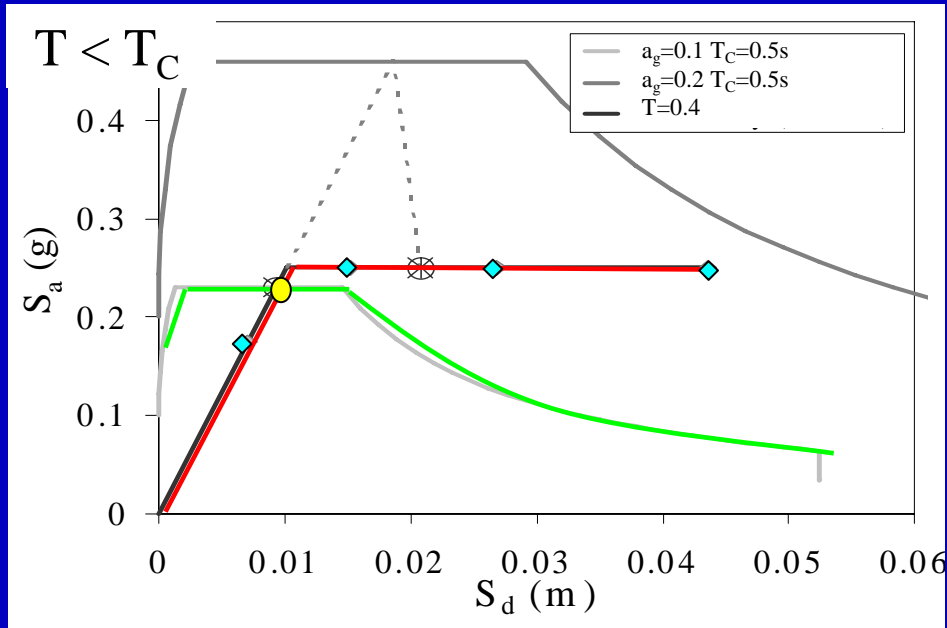
$$T_C = \frac{S_{ae(T=1)}}{S_{ae(T=0.3)}}$$

# Mechanical Method: performance point evaluation

A seismic demand reduction is performed in order to take into account the inelastic behaviour of the building

## • INELASTIC SPECTRA

Easier and the more direct. It has to be preferred to overdamped spectra dealing with Bilinear Capacity Curves



$$S_{d^*} = \begin{cases} \left[ 1 + \left( \frac{S_{ae}}{a_y} - 1 \right) \left( \frac{T_c}{T} \right) \right] d_y & T < T_c \text{ and } q > 1 \\ \frac{S_{ae}}{a_y} d_y & T_c \leq T < T_D \text{ or } q \leq 1 \\ S_{ae} \frac{(T_D)^2 T_D^2}{4\pi^2} & T \geq T_D \end{cases}$$

$$S_{d,1} = 0.7d_y$$

$$S_{d,2} = 1.5d_y$$

$$S_{d,3} = 0.5(d_y + d_u)$$

$$S_{d,4} = d_u$$

# Mechanical Method: fragility curves and damage distributions

Hazard:  $a_g$   
 Site Effects:  $T_{C,s}$   
 Vulnerability :  $a_y, T, \mu$



Performance Point

$S_{d,*}$

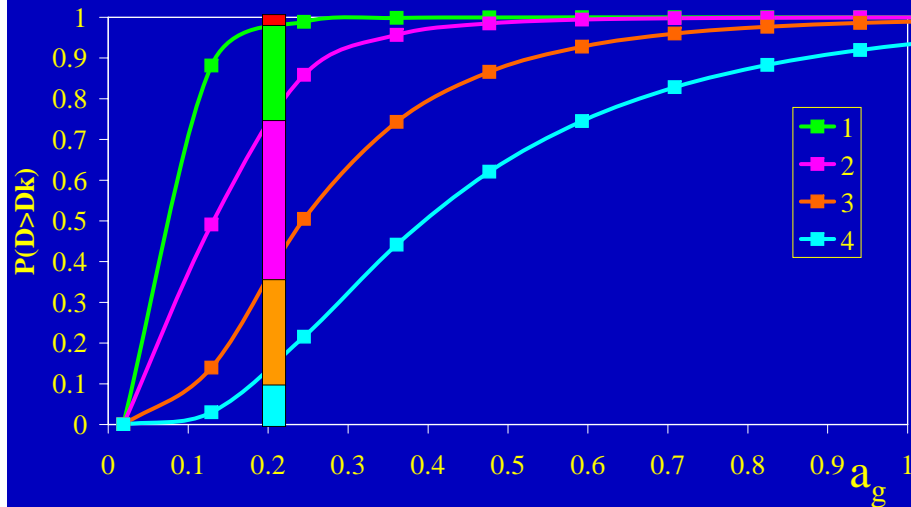
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$$S_{d,2} = 1.5d_y$$

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$$S_{d,4} = d_u$$

## FRAGILITY CURVES



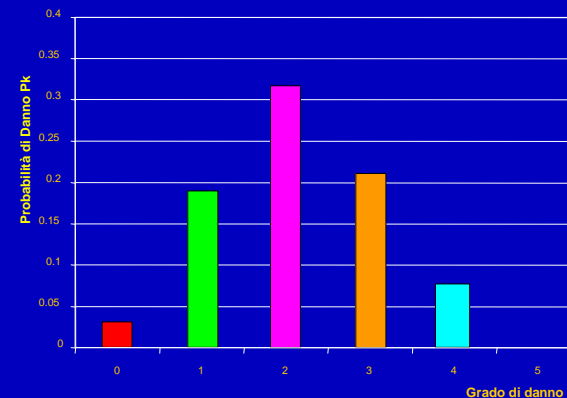
## DAMAGE DISTRIBUTION

$$P_{k=1 \div 3} = P[D_k | S_{d,*}] - P[D_{k+1} | S_{d,*}]$$

$$P_0 = 1 - P[D_1 | S_{d,*}]$$

$$P_4 = P[D_4 | S_{d,*}]$$

$$P[D_k | S_{d,*}] = \Phi \left[ \frac{1}{\beta_k} \ln \left( \frac{S_{d,*}}{S_{d,k}} \right) \right]$$



## Mechanical Method: consequences estimation

Consequences on buildings	Damaged buildings	100% of buildings suffering $D_3$ 60% of buildings suffering $D_2$
	Unfit for use buildings	100% of buildings suffering $D_4$ 40% of buildings suffering $D_3$
	Collapsed buildings	100% of buildings suffering $D_5$
Consequences on people	Homeless	100% of people living in Unfit for use buildings + 70% of people living in Collapsed buildings
	Dead people and heavy injured	30% of people living in Collapsed buildings

**Economic losses** are measured in terms of the damage factor (DF), defined as the ratio between the repair cost and the reconstruction cost (corresponding to the building value)

$DF_1$	$DF_2$	$DF_3$	$DF_4$	$DF_5$
0.01	0.1	0.35	0.75	1

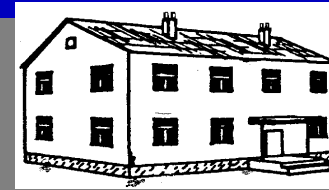
$$MDR = DF = \frac{\text{Repair Cost}}{\text{Building Value}}$$

# Macroseismic Method - EMS 98 Macroseismic Scale

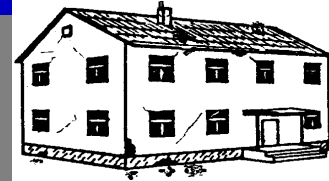
Six vulnerability classes (from A to F) of decreasing vulnerability: A, B and C classes of ordinary buildings designed without explicit control of seismic resistance; D, E and F classes of buildings with levels of progressively increasing protection.

Class A					
Damage grade	1	2	3	4	5
Intensity					
V	Few				
VI	Many	Few			
VII			Many	Few	
VIII				Many	Few
IX					Many
X					Most
XI					All
XII					

A discrete five damage grade scale Dk k=1÷5)



**GRADE 1:**  
Negligible to slight damage



**GRADE 2:**  
Moderate damage



**GRADE 3:**  
Substantial to heavy damage

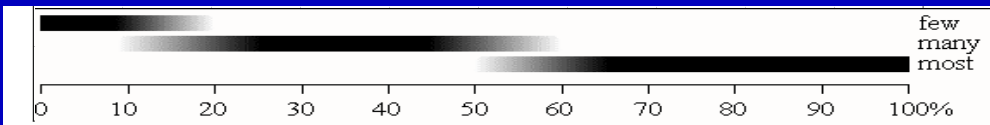


**GRADE 4:**  
Very heavy damage



**GRADE 5:**  
Destruction

The meaning of the adjectives (“few”, “many”, “most”) used for the description of the frequencies of damaged buildings, is qualitatively suggested by the scale in a graphical fuzzy manner



# Macroseismic Method – Damage Probability Matrices

- The complete description of the damage distribution has been obtained via a linguistic extension, according to two different criteria.

	Class B					
	D <sub>0</sub>	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>	D <sub>5</sub>
V	All-few	Few	none	none	none	none
VI	Most-8/3few	Many	Few	none	none	none
VII	3few	many	Many	Few	none	none
VIII	few	2few	many	Many	Few	none
IX	none	few	2few	many	Many	Few
X	none	none	few	3few	many	Many
XI	none	none	none	few	2few	Most

1) the scale explicitly gives the frequencies of grades of greater damage, thus the linguistic frequency “none” (i. e. numerically 0) is here assumed, for all higher grades of damage.

2) for lower grades, the extension of every row has been performed in such a way that the sum of the expected white percentages should be in any case equal to 100 .

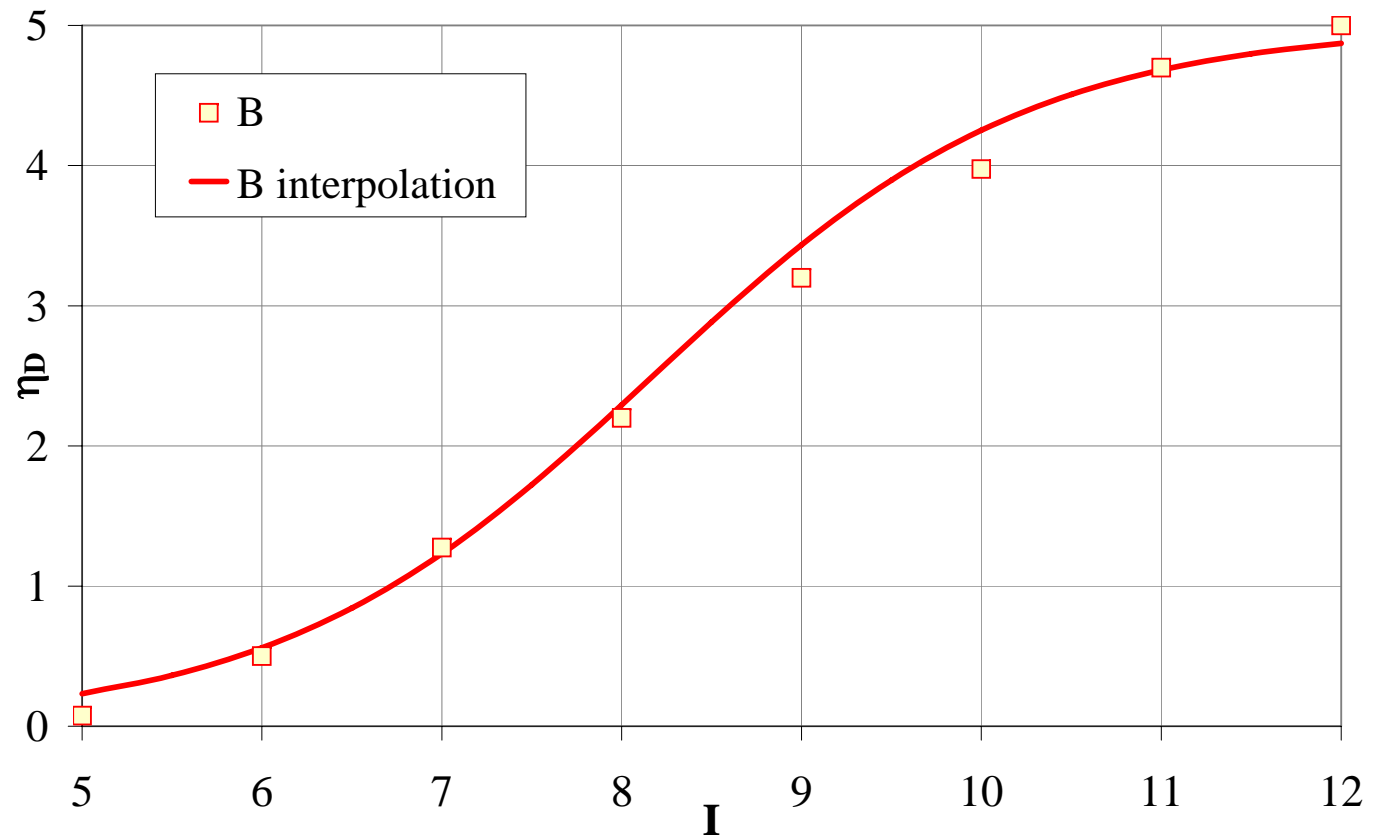
	0	1	2	3	4	5	$\mu_D$	$\sigma_D$
V	92.5	7.5	0	0	0	0	0.08	0.26
VI	57.5	35	7.5	0	0	0	0.50	0.63
VII	22.5	35	35	7.5	0	0	1.28	0.89
VIII	7.5	15	35	35	7.5	0	2.20	1.03
IX	0	7.5	15	35	35	7.5	3.20	1.03
X	0	0	7.5	22.5	35	35	3.98	0.94
XI	0	0	0	7.5	15	77.5	4.70	0.60

Few	7.5
Many	35
Most	77.5



# Macroseismic Method – Vulnerability Curves

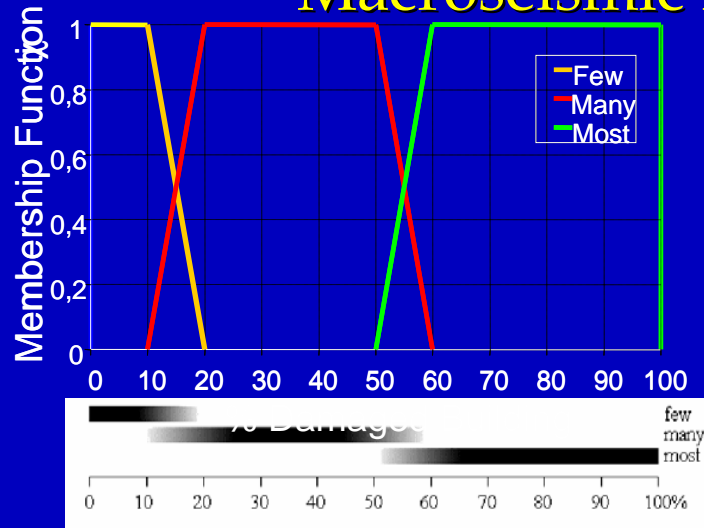
I	$\mu_D$	$\sigma_D$
5	0.08	0.26
6	0.50	0.63
7	1.28	0.89
8	2.20	1.03
9	3.20	1.03
10	3.98	0.94
11	4.70	0.60
12	5	0



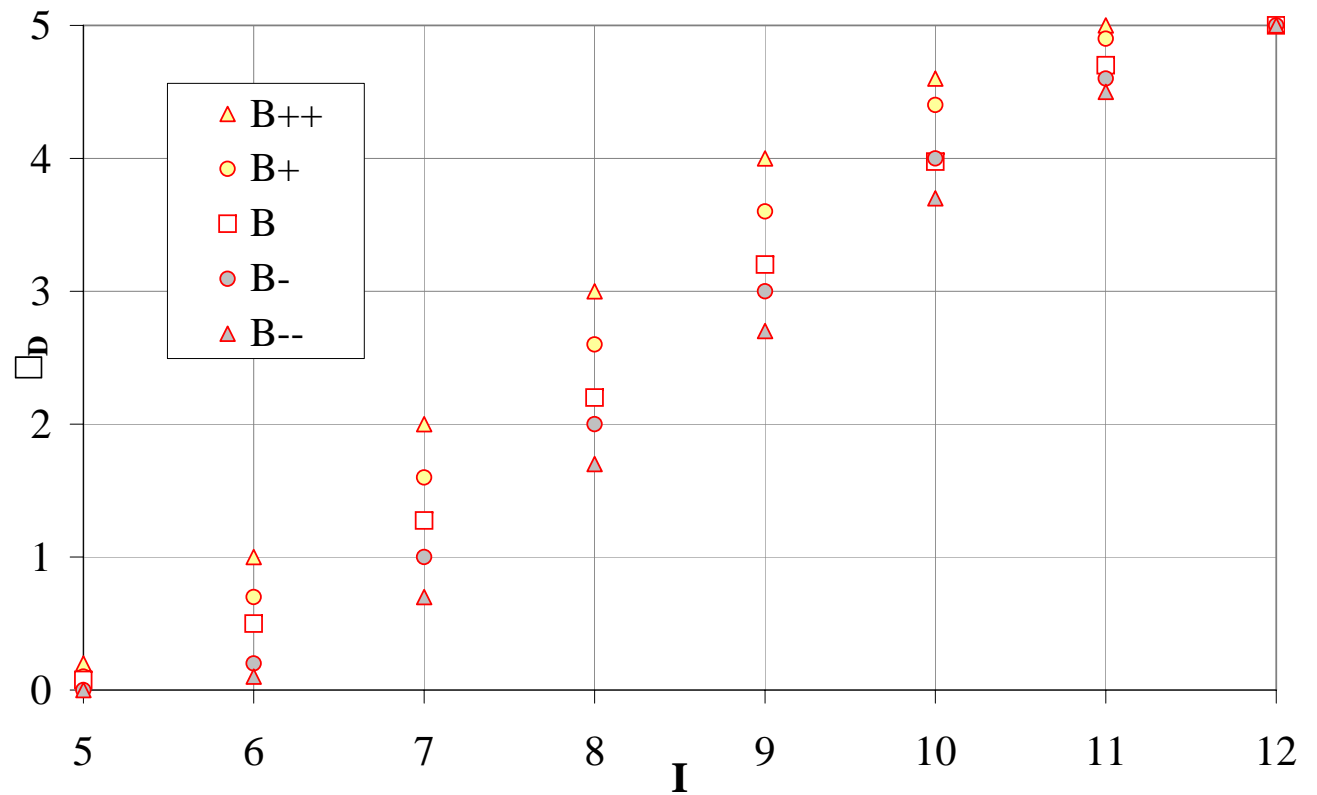
$$\mu_D = 2.5 \cdot \left[ 1 + \tanh \left( \frac{I + 6.25 \cdot V - 13.1}{Q} \right) \right]$$

The macroseismic intensity is regarded as a continuous parameters

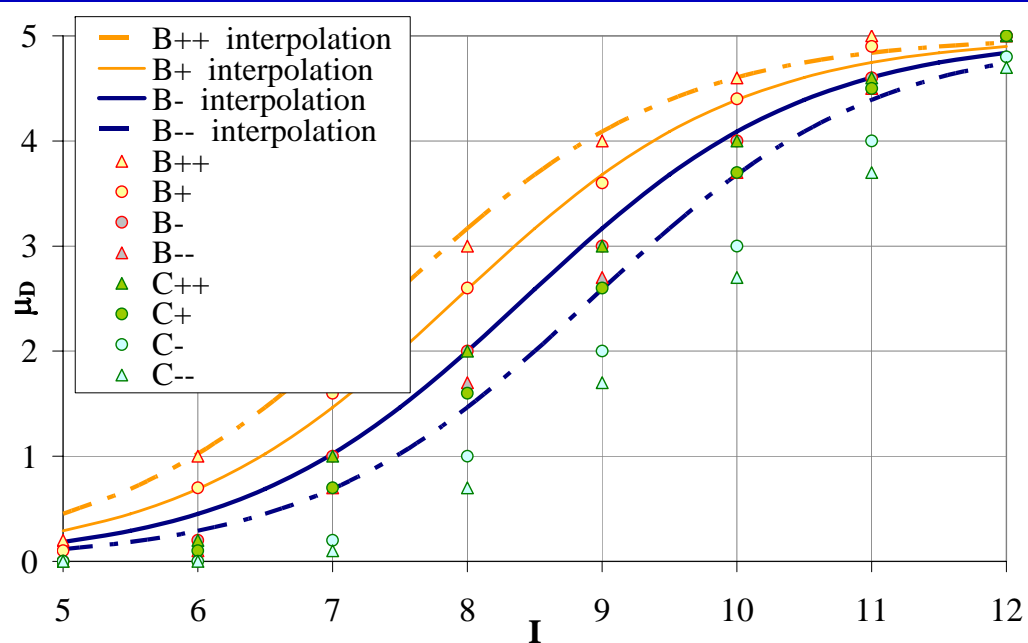
# Macroseismic Method – Vulnerability Curves



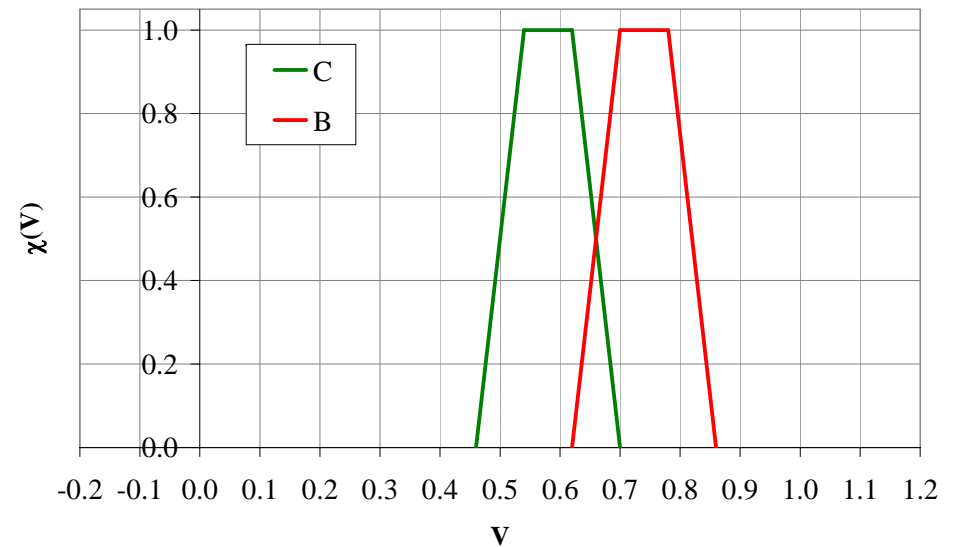
$\alpha$ -cuts	Label	few	many	most
$\alpha=0$ (upper bound)	++	20	60	100
$\alpha=1$ (upper bound)	+	10	50	100
$\alpha=0.5$ (mean value)		7.5	35	77.5
$\alpha=1$ (lower bound)	-	0	20	60
$\alpha=0$ (lower bound)	--	0	10	50



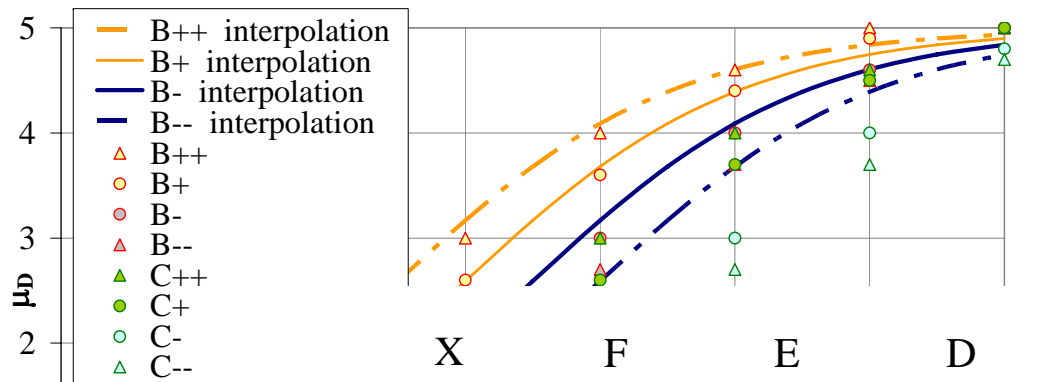
# Macroseismic Method – Vulnerability Curves



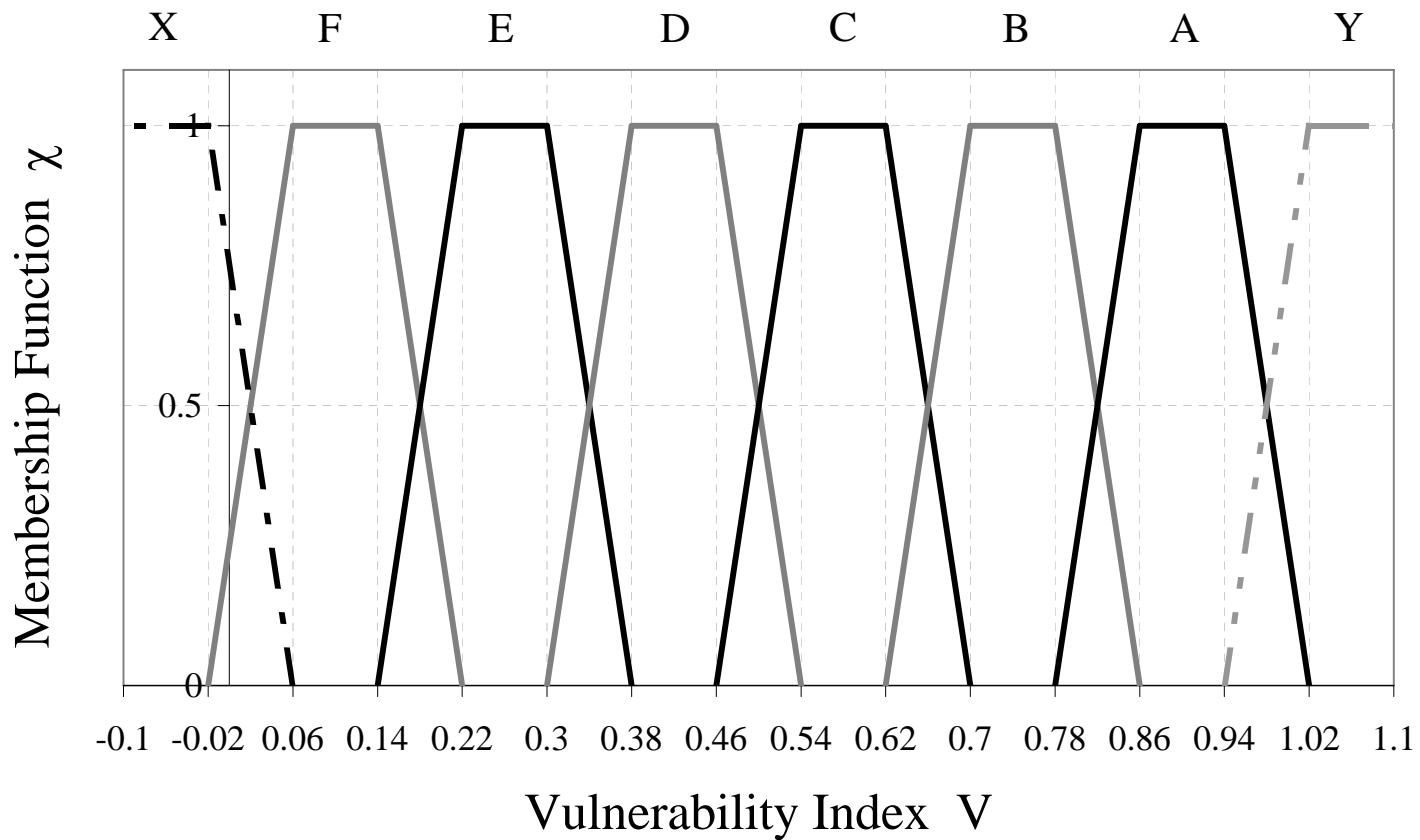
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# Macroseismic Method – Vulnerability Curves



$$\mu_D = 2.5 \cdot \left[ 1 + \tanh \left( \frac{I + 6.25 \cdot V - 13.1}{Q} \right) \right]$$



# Macroseismic Method for Building Typologies

Type of Structure	Vulnerability Class					
	A	B	C	D	E	F
MASONRY	○					
	○—					
	○—					
	○—					
	○—					
	○—					
	○—					
REINFORCED CONCRETE (RC)	○—					
	○—					
	○—					
	○—					
	○—					
	○—					
STEEL						
WOOD						

○ most likely vulnerability class; — probable range; ..... range of less probable, exceptional cases

EMS-98 provide the information about the prevalent vulnerability class (“most likely”) and in case point out the “probable” and the “less probable, exceptional”

The information at the basis of the EMS-98 Vulnerability Table, describing the distribution in terms of vulnerability classes  $C_j$  ( $j = 0$  to 6) for each building typology  $T_i$ , can be interpreted as a distribution of relative frequencies:

Less Probable	Few	7.5
Probable	3 Few	22.5
Most Likely		

Typologies		Building type	V-	V	V+
Masonry	M1	Rubble stone	0.81	0.873	0.98
	M2	Adobe (earth bricks)	0.687	0.84	0.98
	M3	Simple stone	0.65	0.74	0.83
	M4	Massive stone	0.49	0.616	0.793
	M5	U Masonry (old bricks)	0.65	0.74	0.83
	M6	U Masonry - r.c. floors	0.49	0.616	0.79
	M7	Reinforced /confined masonry	0.33	0.451	0.633
Reinforced Concrete	RC1	Frame in r.c. (without E.R.D)	0.49	0.644	0.8
		Frame in r.c. (moderate E.R.D.)	0.33	0.484	0.64
		Frame in r.c. (high E.R.D.)	0.17	0.324	0.48
	RC2	Shear walls (without E.R.D)	0.367	0.544	0.67
		Shear walls (moderate E.R.D.)	0.21	0.384	0.51
		Shear walls (high E.R.D.)	0.047	0.224	0.35

$$V = V^* + \Delta V_m + \Delta V_r + \Delta V_s$$

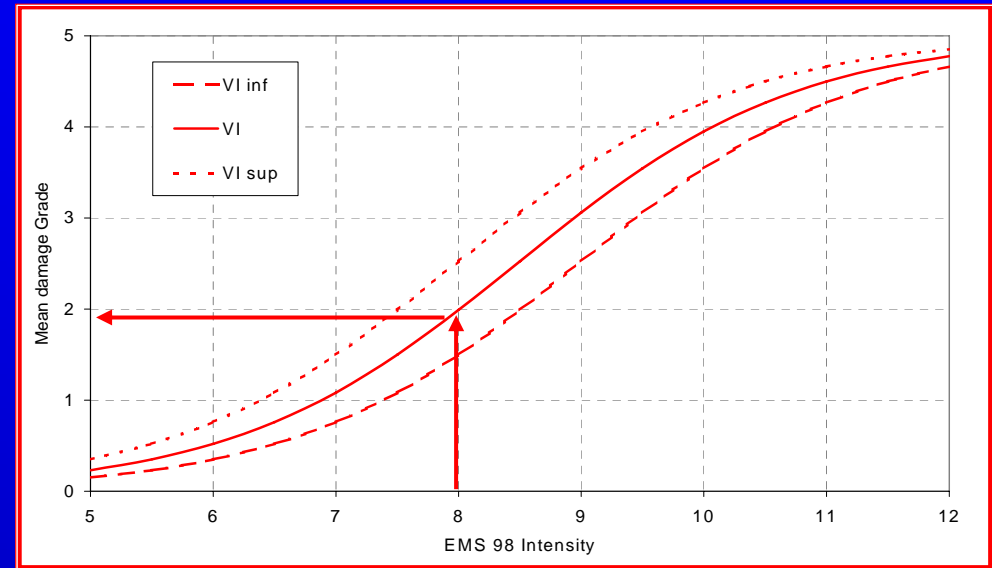
# Macroseismic Method: fragility curves and damage distributions

Hazard:  $I$

Site Effects:  $\Delta V$

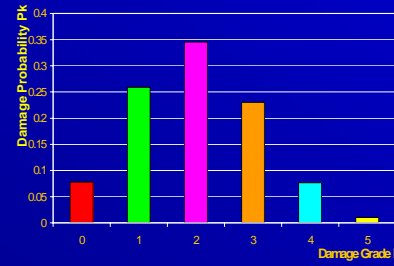
Vulnerability:  $V$  and  $Q$

$$\mu_D = 2.5 \cdot \left[ 1 + \tanh \left( \frac{I + 6.25 \cdot V - 13.1}{Q} \right) \right]$$



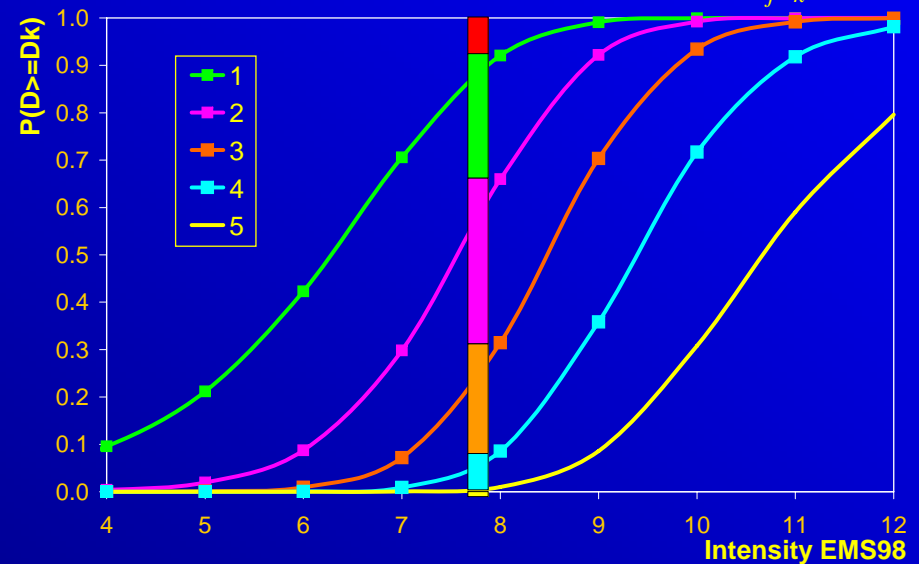
## Damage Distribution

$$P_k = \frac{5!}{k! (5-k)!} \left( \frac{\mu_D}{5} \right)^k \left( 1 - \frac{\mu_D}{5} \right)^{5-k}$$



## Fragility Curves

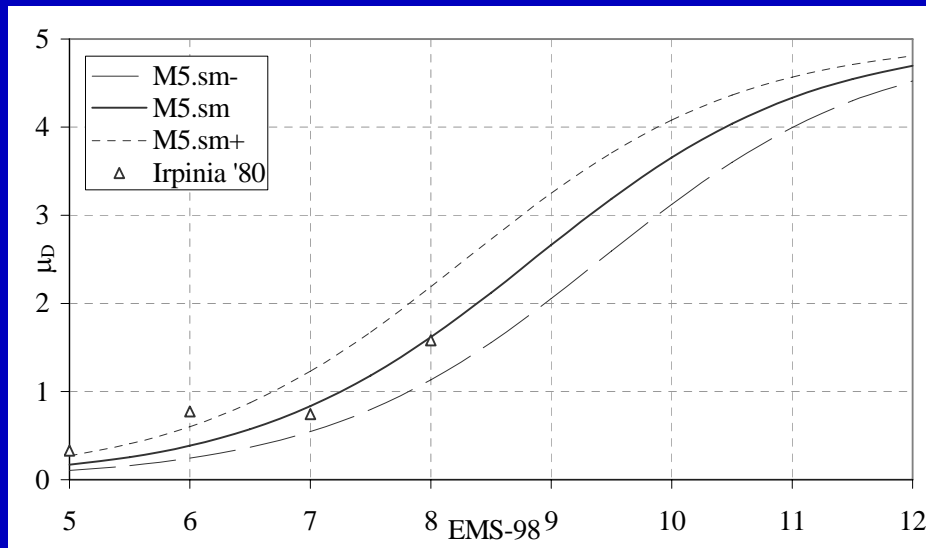
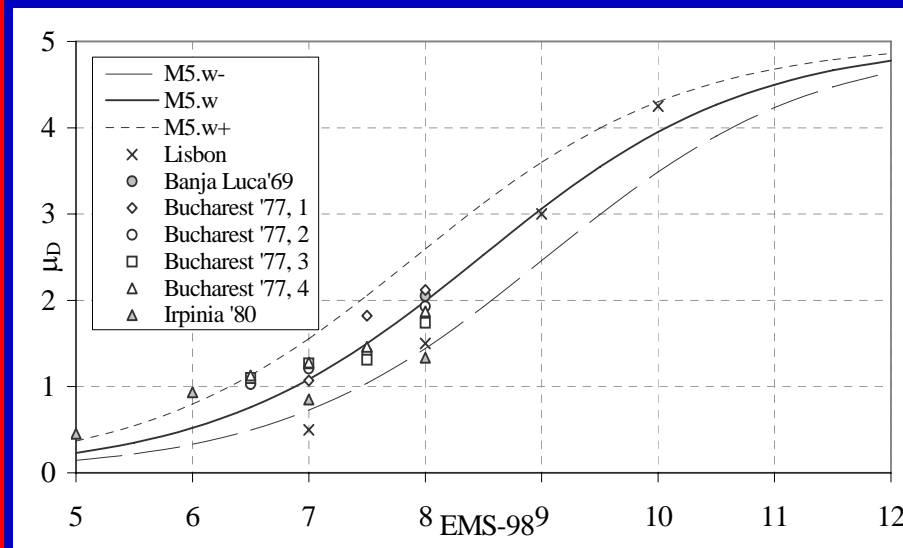
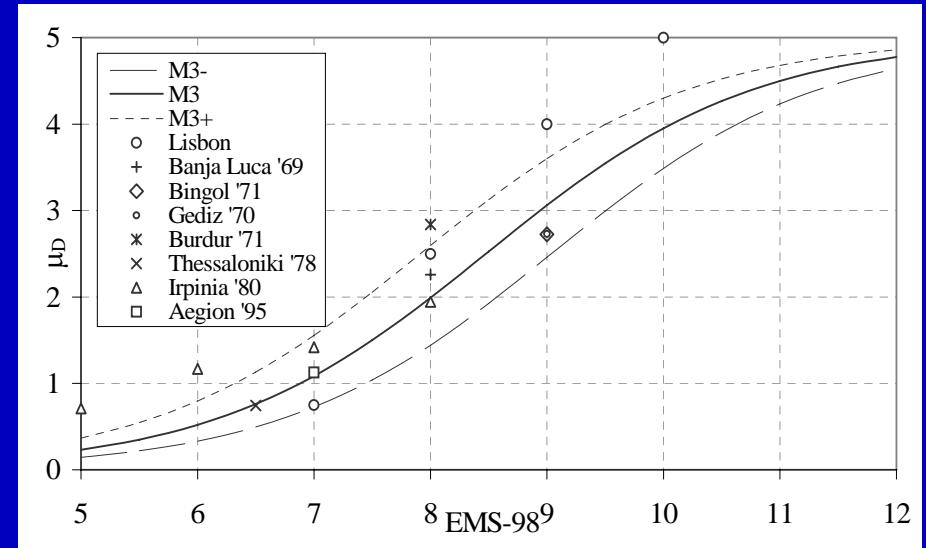
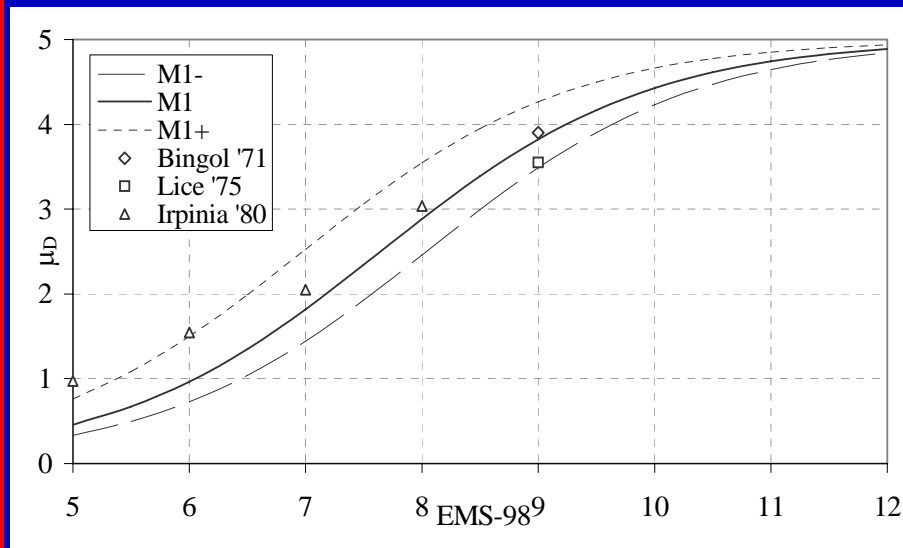
$$P(D \geq D_k) = \sum_{j=k}^5 P_j$$



# Macroseismic Method: validation

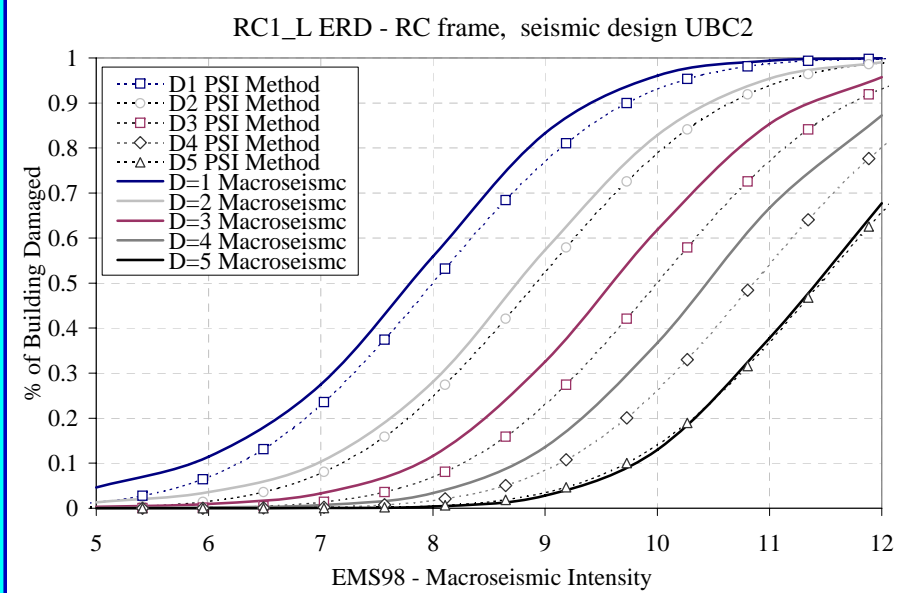
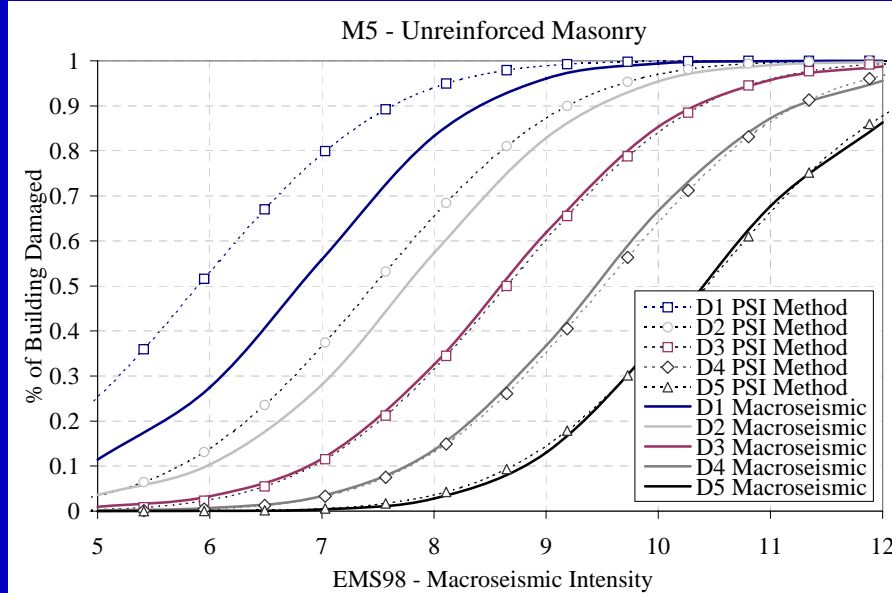
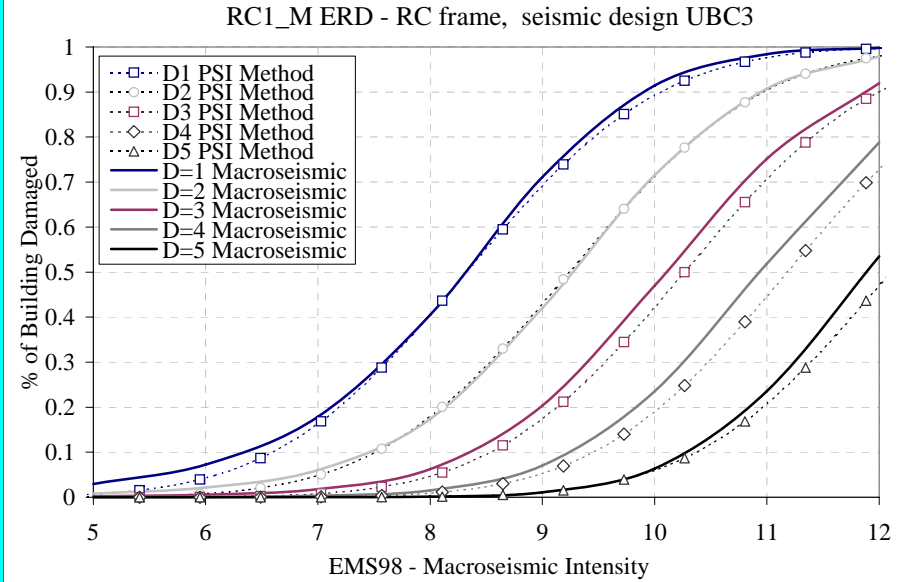
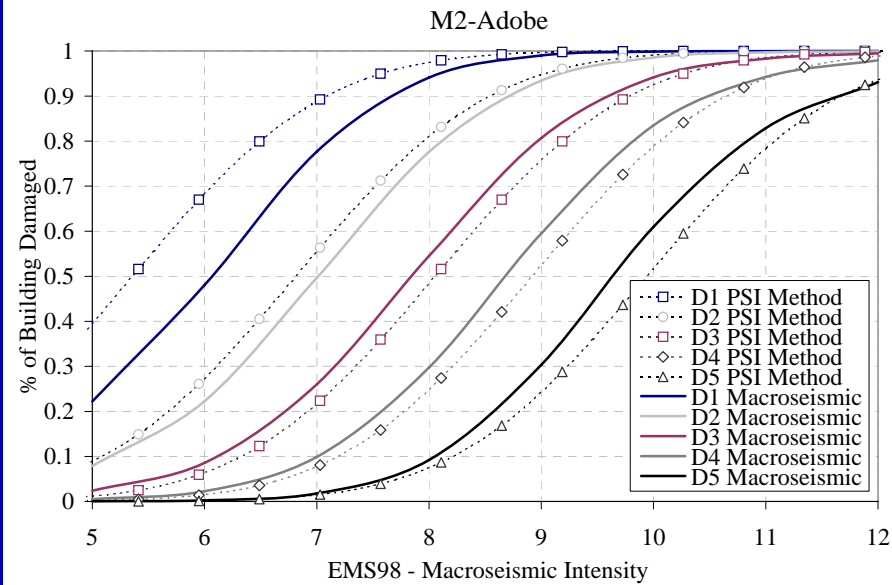
Observed Damage Data

Different European regions



# Macroseismic Method : validation

## PSI Vulnerability Method (COBURN & SPENCE, 2002)

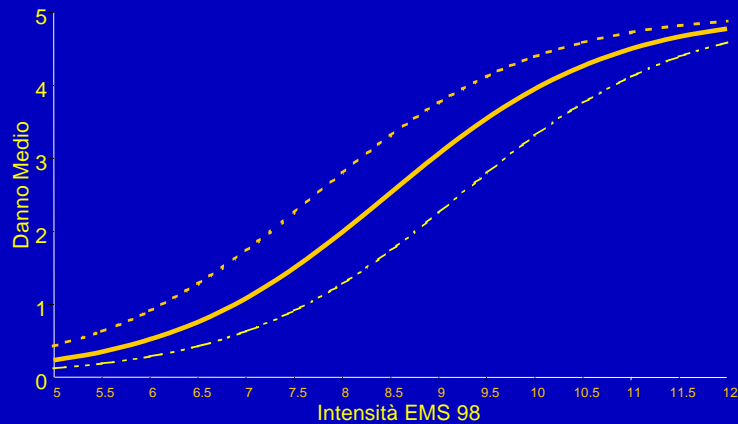




# Macroseismic Mechanical Methods: equivalent approaches

## Macroseismic Approach

- V Vulnerability Index
- Q Ductility Index



## Macroseismic Intensity

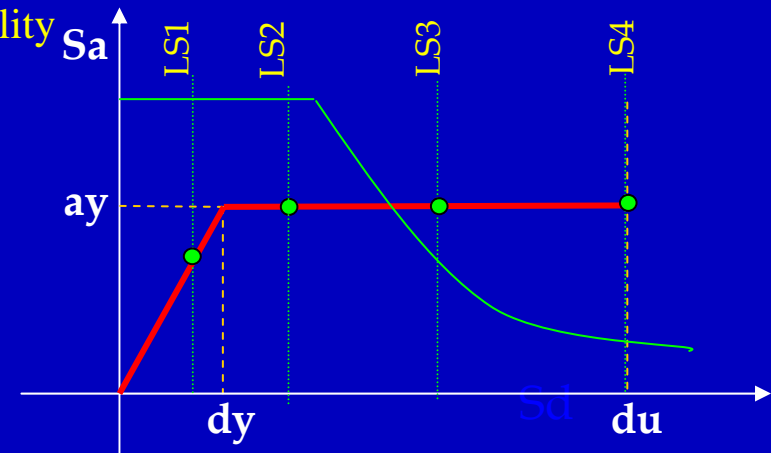
$$I_1 = f(V, Q) \text{ --- } \mu_{D,1} \text{ t.c. } P(D_1) = 0.5$$

$$I_4 = f(V, Q) \text{ --- } \mu_{D,4} \text{ t.c. } P(D_4) = 0.5$$

$$a_g = c_1 c_2^{(I-5)}$$

- T Fundamental period
- $a_y$  Yielding strength
- $\mu$ , Ductility

## Mechanical Approach



## Peak Ground Acceleration

$$a_{g,1} = f(s, T_c, A_y, T) \text{ ----- } S_{d^*} = S_{d1}$$

$$a_{g,4} = f(s, T_c, A_y, T, \mu) \text{ --- } S_{d^*} = S_{d4}$$

$$T < T_c \begin{cases} a_y = 1.43 s c_1 c_2^{(8.1 - 6.25V - 0.95Q)} \\ \mu = 1 - \frac{T_c}{T} + 0.7 \frac{T_c}{T} c_2^{1.35Q} \end{cases}$$

$$T \geq T_c \begin{cases} a_y = 1.43 s c_1 c_2^{(8.1 - 6.25V - 0.95Q)} \frac{T_c}{T} \\ \mu = 0.7 c_2^{1.35Q} \end{cases}$$

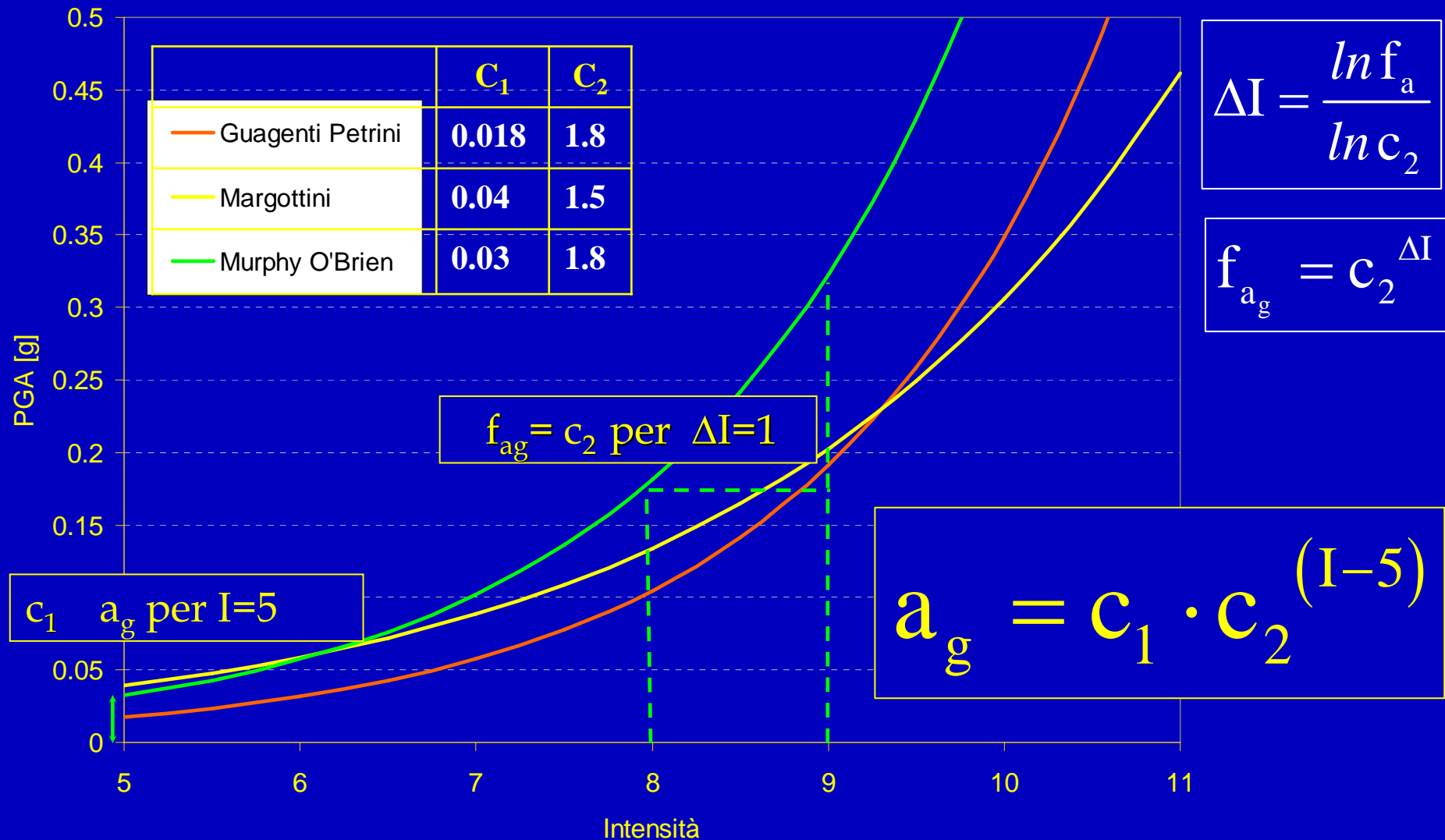
$$T < T_c = \begin{cases} V = \frac{1}{6.25} \cdot \left[ 8.1 - 0.95 \cdot Q - \ln_{c_2} \left( \frac{a_y}{1.43 \cdot s \cdot c_1} \right) \right] \\ Q = \frac{1}{1.35} \cdot \ln_{c_2} \left[ \left( \mu - 1 + \frac{T_c}{T} \right) \cdot \frac{T}{0.7 \cdot T_c} \right] \end{cases}$$

$$T \geq T_c = \begin{cases} V = \frac{1}{6.25} \cdot \left[ 8.1 - 0.95 \cdot Q - \ln_{c_2} \left( \frac{a_y}{1.43 \cdot s \cdot c_1} \cdot \frac{T}{T_c} \right) \right] \\ Q = \frac{1}{1.35} \cdot \ln_{c_2} \left( \frac{\mu}{0.7} \right) \end{cases}$$

# Macroseismic Mechanical Methods: equivalent approaches

For the mechanical method a predefined spectral shape related to soil conditions is assumed

Correlation between intensity I and peak ground acceleration  $a_g$ .



# Macroseismic Mechanical Methods: equivalent approaches

The macroseismic and the mechanical models provide comparable results

The cross validation between the macroseismic and the mechanical-based methods allow improvements for both

## THE MECHANICAL MODEL

- The reliability of assumed force-based capacity curves can be cross-validated on the basis of real observed damage data
- Possible over or under estimation of the building capacity due to element that have not been accounted for (i.e, non structural elements, further design safety coefficients) can be noticed and reduced by the comparison with the macroseismic approach

## THE MACROSEISMIC MODEL

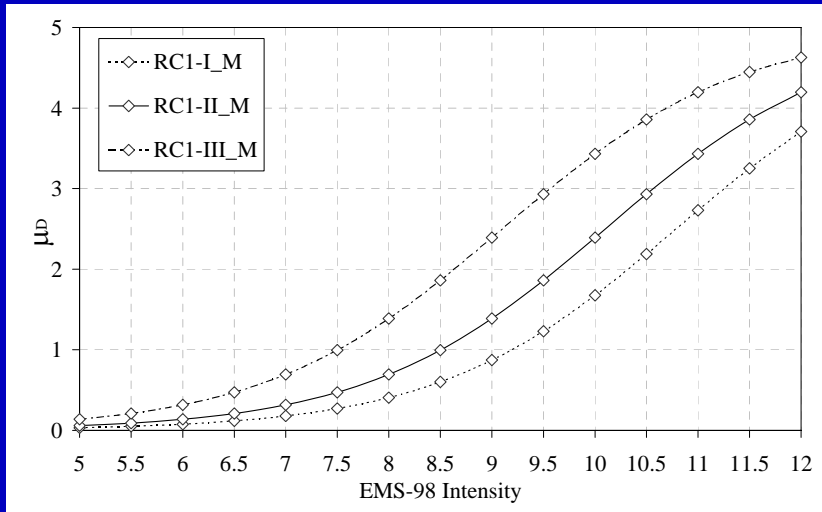
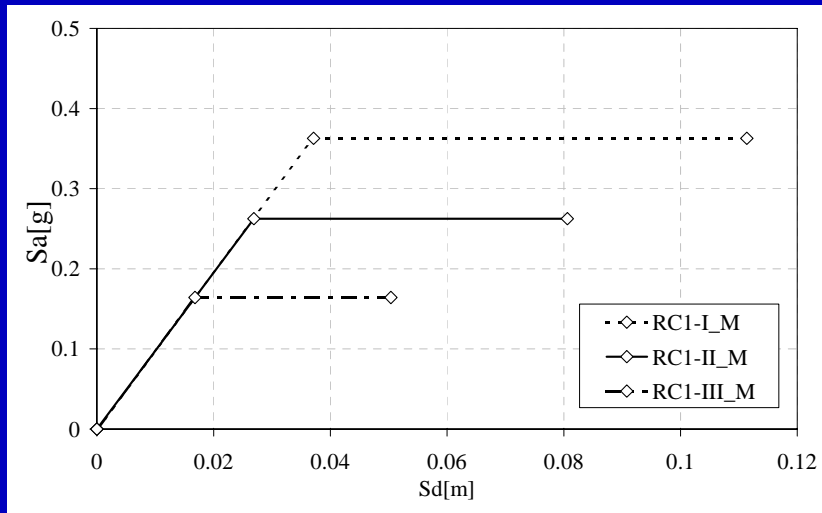
- Behavior modifier and values of the ductility indexes  $Q$  for the definition of the macroseismic method can be derived
- Refinements in the definition of the mechanical model based on numerical/experimental analysis results can be directly implemented (“translated”) into the equivalent macroseismic model

# Macroseismic Mechanical Methods: equivalent approaches

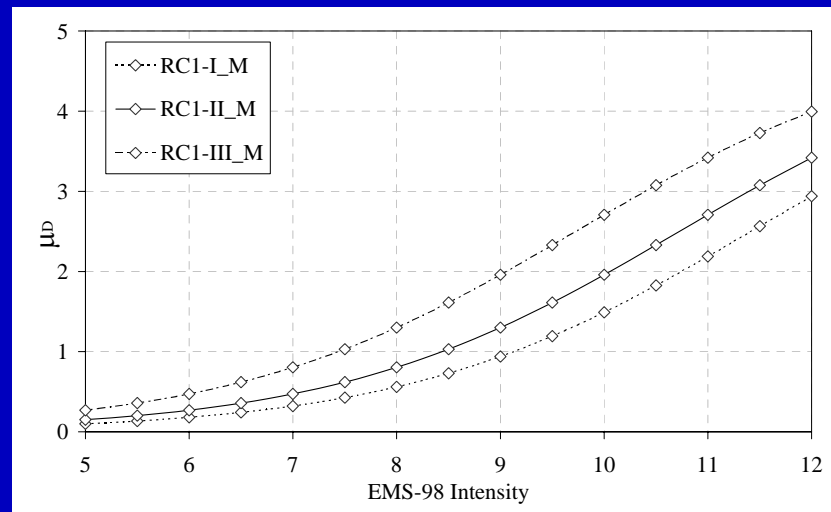
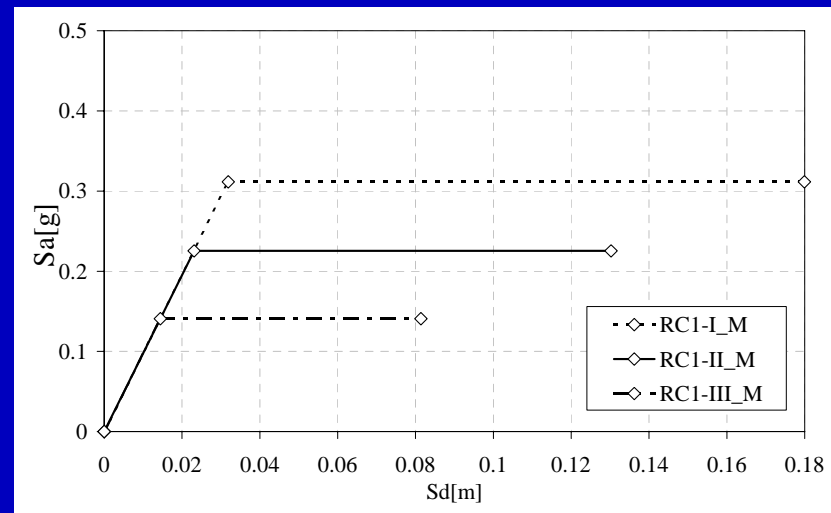
RC1 – Concrete Moment Frame

## Different Levels of Earthquake Resistant Design

### WCD without Ductility Class

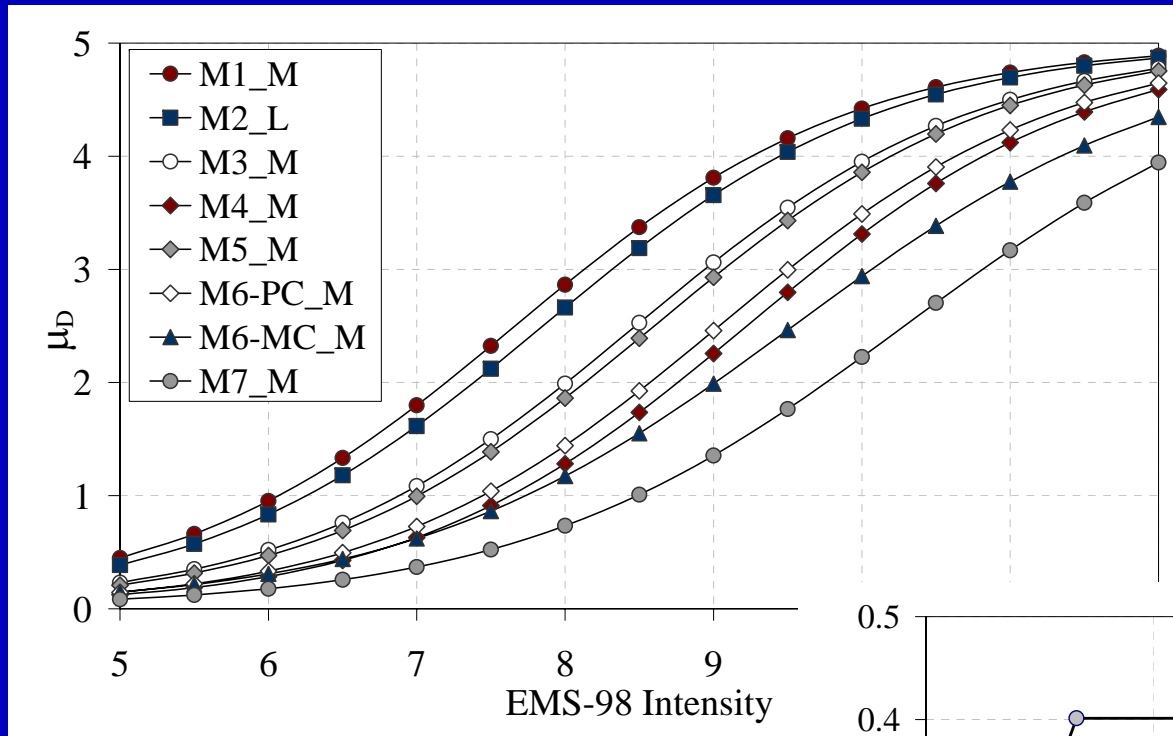


### HCD high Ductility Class

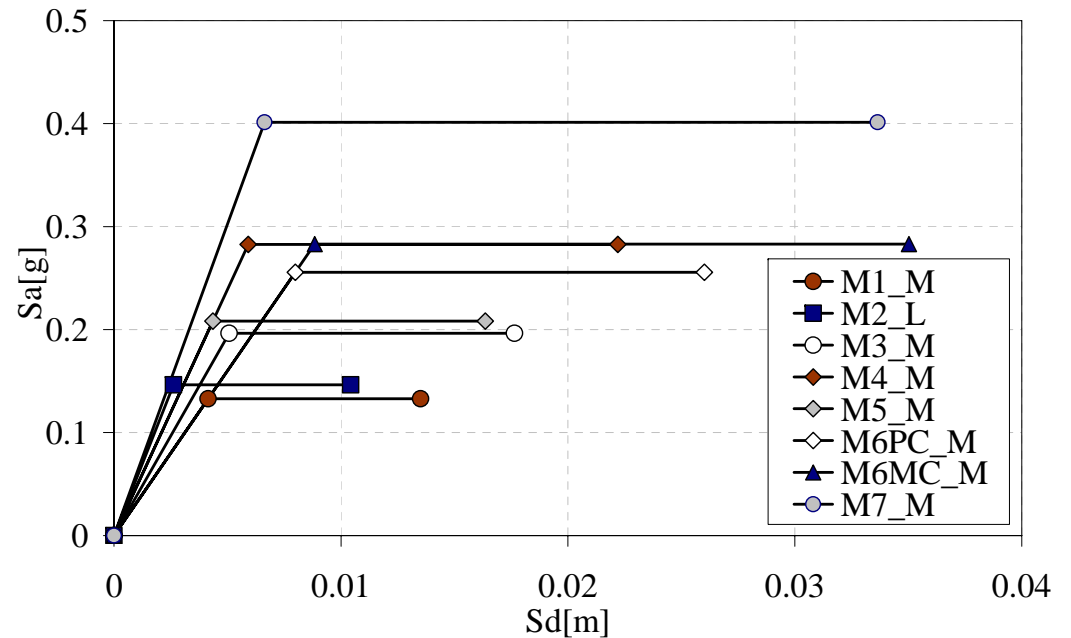


# Macroseismic Mechanical Methods: equivalent approaches

## MASONRY BUILDING TYPOLOGYS



Assumed  $I-a_g$  correlation  
 ( $c_1=0.03$ ,  $c_2=1.6$ ) in the  
 hypothesis to refer to rock soil  
 condition ( $TC=0.4$   $s=2.5$ )

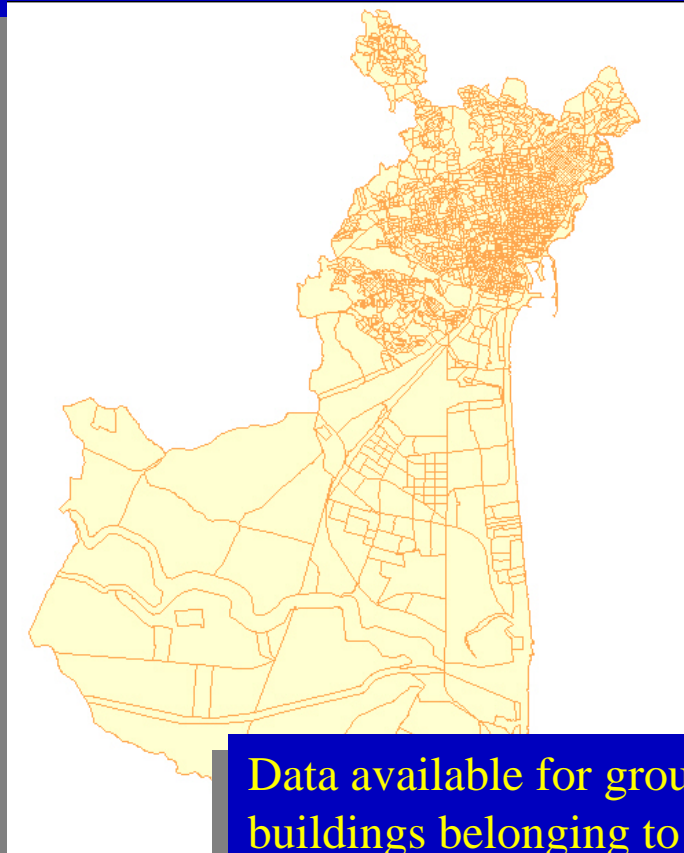


	<b>BTM</b>	<b>V</b>	<b>Q</b>	<b>T</b>	<b>a<sub>y</sub></b>	<b>μ</b>	<b>d<sub>y</sub></b>	<b>d<sub>u</sub></b>
<b>M1</b>	M1_L	0.79	2.3	0.211	0.168	4.79	0.0019	0.0089
	M1_M	0.87	2.3	0.355	0.133	3.25	0.0042	0.0135
	M1.w_L	0.77	2.3	0.211	0.178	4.79	0.0020	0.0094
	M1.w_M	0.85	2.3	0.355	0.141	3.25	0.0044	0.0143
	M1.v_L	0.87	2.3	0.211	0.132	4.79	0.0015	0.0070
	M1.v_M	0.95	2.3	0.355	0.105	3.25	0.0033	0.0107
	M2_L	0.84	2.3	0.268	0.146	3.98	0.0026	0.0104
<b>M2</b>	M2.w_L	0.82	2.3	0.268	0.155	3.98	0.0028	0.0111
	M2.v_L	0.92	2.3	0.268	0.116	3.98	0.0021	0.0082
<b>M3</b>	M3_L	0.66	2.3	0.192	0.248	5.17	0.0023	0.0117
	M3_M	0.74	2.3	0.322	0.196	3.48	0.0051	0.0176
	M3_H	0.82	2.3	0.437	0.142	3.00	0.0067	0.0202
	M3.w_L	0.64	2.3	0.192	0.263	5.17	0.0024	0.0124
	M3.w_M	0.72	2.3	0.322	0.208	3.48	0.0054	0.0187
	M3.w_H	0.80	2.3	0.437	0.151	3.00	0.0071	0.0214
	M3.v_L	0.74	2.3	0.192	0.196	5.17	0.0018	0.0093
	M3.v_M	0.82	2.3	0.322	0.155	3.48	0.0040	0.0140
	M3.v_H	0.90	2.3	0.437	0.112	3.00	0.0053	0.0160
	M3.sm_L	0.60	2.3	0.192	0.296	5.17	0.0027	0.0140
	M3.sm_M	0.68	2.3	0.322	0.234	3.48	0.0060	0.0210
	M3.sm_H	0.76	2.3	0.437	0.170	3.00	0.0080	0.0241

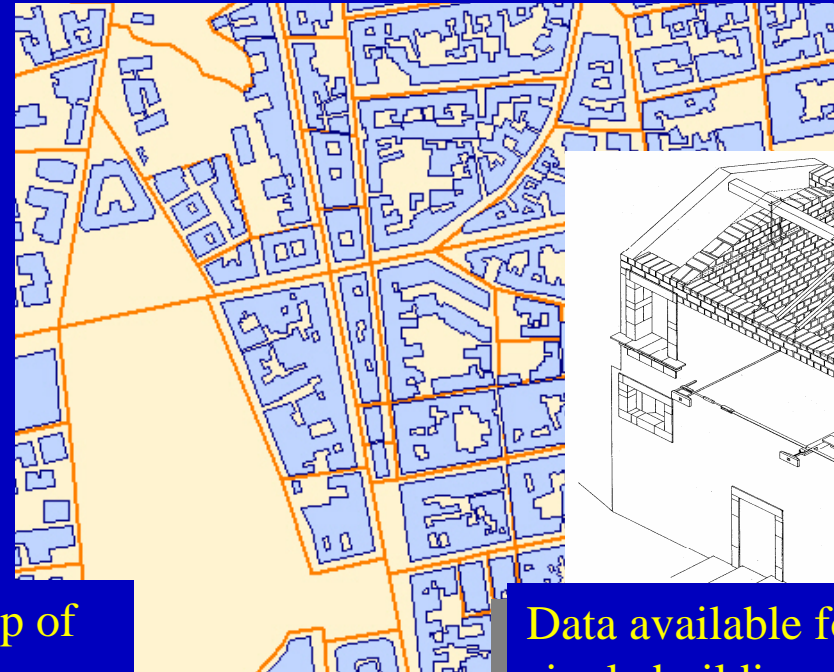
# Vulnerability Methods Implementation for Catania Town



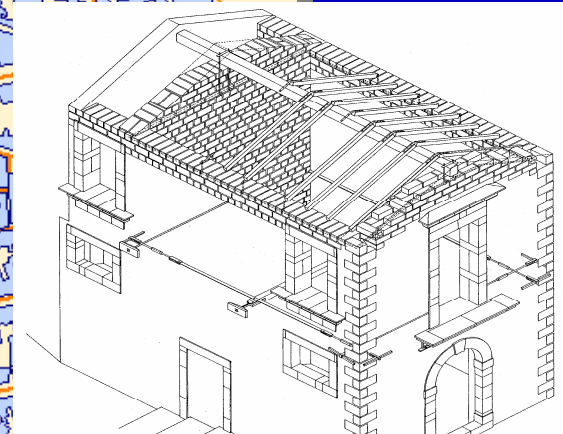
## • DATA SPATIAL DISTRIBUTION



Data available for group of buildings belonging to census tracts



Data available for each single building



## • DATA ORIGIN

Existing data base, with generic information

Data surveyed for vulnerability assessment

# Macroseismic Method Implementation with statistical data available on census tracts



Structural Typology	Building Age	Floor Numbers	Structural Context	State of Maintenance
Masonry	Age < 1919	1 - 2 floors	Isolated Building	Good
Reinforced Concrete	1919 <= Age <= 1945			
Piloty	1946 <= Age <= 1960	3, 4 - 5 floors	Aggregated Building	Bad
Other Typologies	1961 <= Age <= 1971	>6 floors		
	1972 <= Age <= 1981			
	Age > 1981			

$$V_o^C = \sum_t p_t V_o^T$$

$$\Delta V_m = \sum_k q_k \cdot V_{m,k}$$

Category	Age	Masonry
		$V_o^c$
<b>I</b>	<1919	<b>0.799</b>
<b>II urban</b>	1919-1945	<b>0.713</b>
<b>II rural</b>	1919-1945	<b>0.738</b>
<b>III</b>	1946-1971	<b>0.658</b>
<b>IV</b>	>1971	<b>0.616</b>



# Macroseismic Method Implementation with statistical data available on census tracts



Structural Typology	Building Age	Floor Numbers	Structural Context	State of Maintenance
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$$V_o^C = \sum_t p_t V_o^T$$

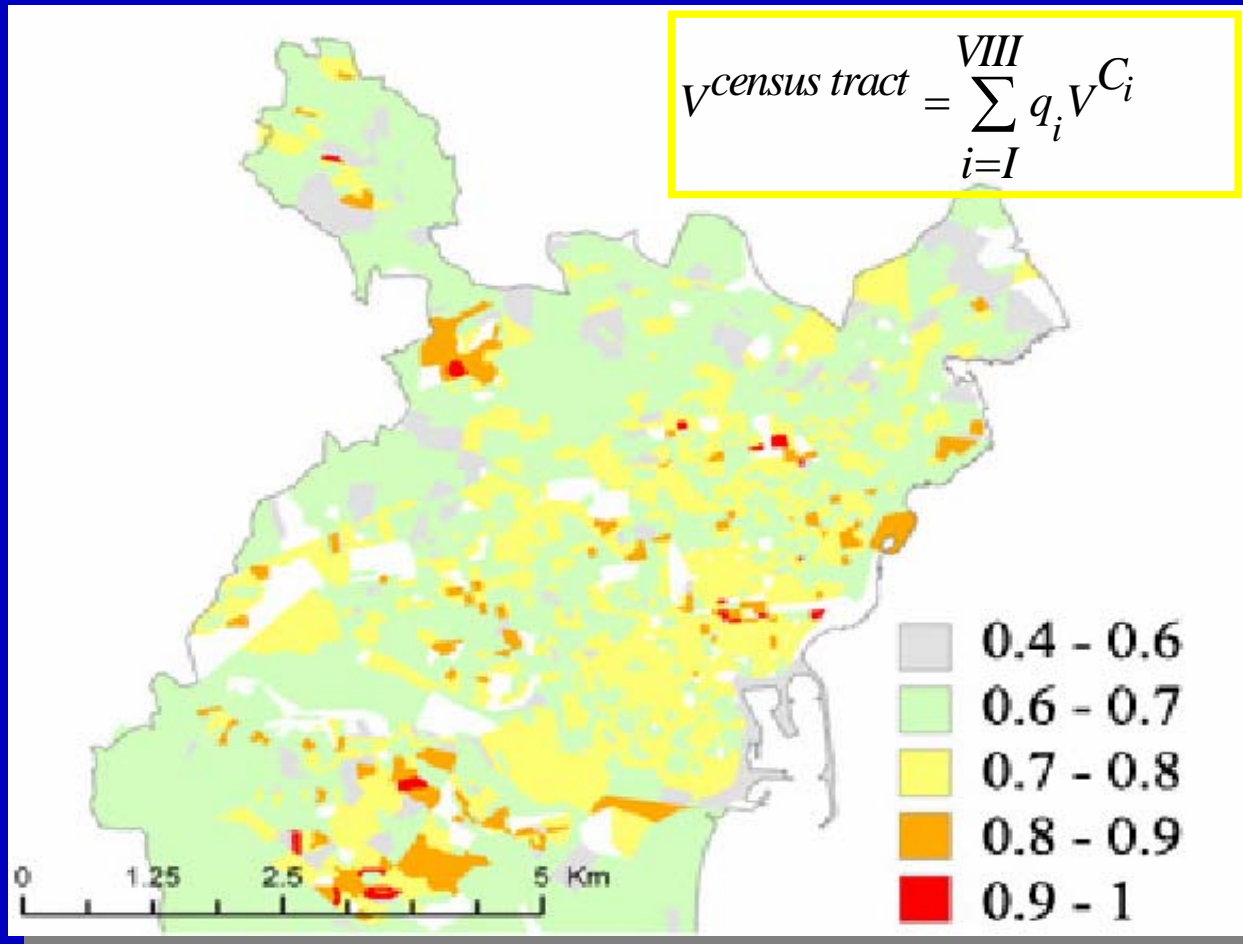
$$\Delta V_m = \sum_k q_k \cdot V_{m,k}$$

Vulnerability Factors	Parameters	Masonry Categories			
		I	II	III	IV
State of Maintenance	Good	-0.04	-0.03	-0.02	-0.02
	Bad	+0.04	+0.03	+0.02	+0.02
Floor number	Low (1 - 2 floors)	-0.04	-0.04	-0.04	-0.04
	Medium (3, 4 - 5 floors)	0	0	0	0
	High (> 5 floors)	+0.04	+0.04	+0.04	+0.04
Aggregate building	Isolated building	-0.02	-0.02	-0.02	-0.02
	Aggregated building	+0.02	+0.02	+0.02	+0.02
Earthquake Resistant Design	-	0	0	0	-0.08

# Macroseismic Method Implementation with statistical data available on census tracts

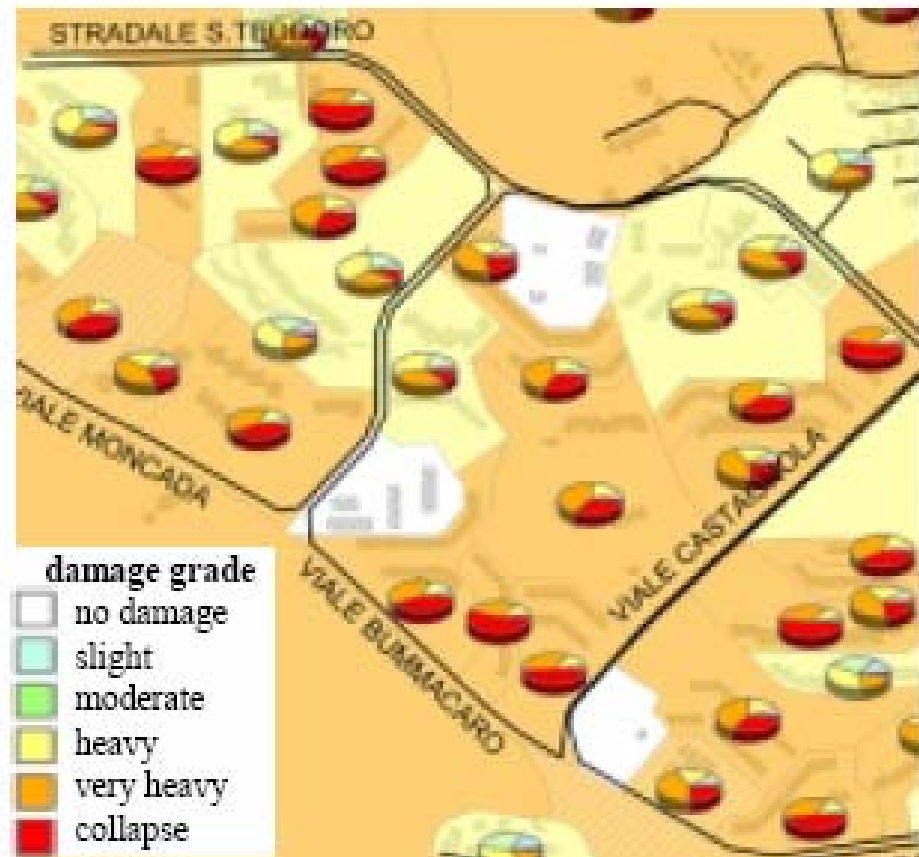
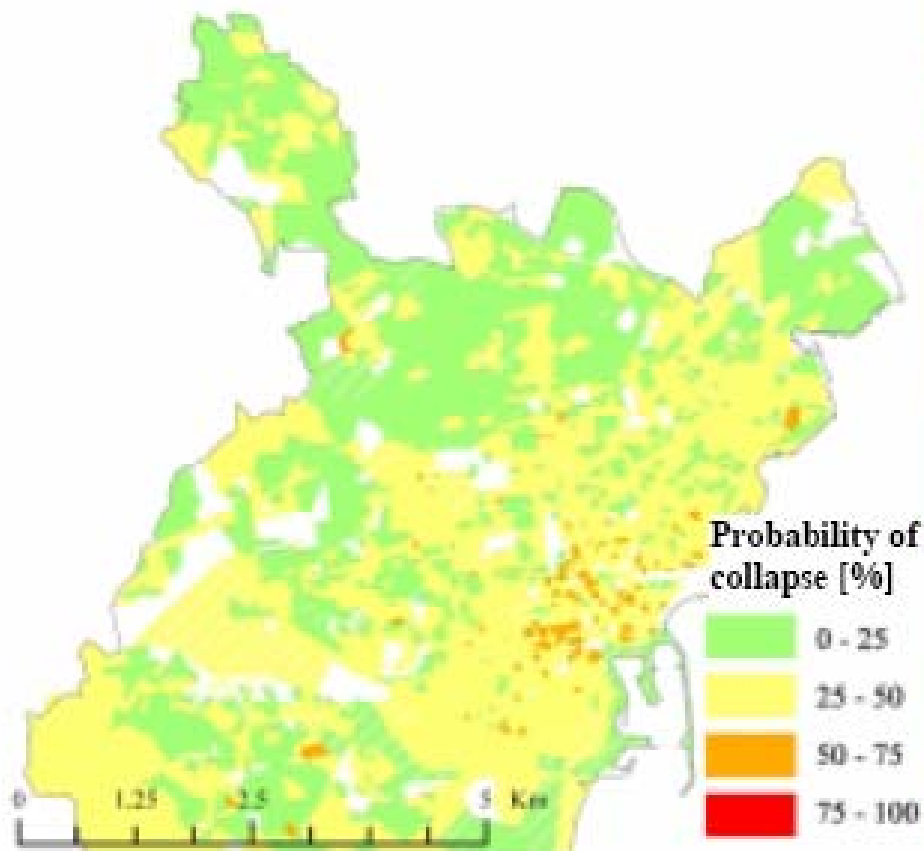
$$V = V^* + \sum_k q_k \Delta V_m + \sum_k r_k \Delta V_r + \sum_{j=1}^3 \sum_{S=B}^E m_j \Delta V_{s_{M,j}} + \sum_{j=1}^3 \sum_{S=B}^E rc_j \Delta V_{s_{RC,j}}$$

$$V^{census\ tract} = \sum_{i=1}^{VIII} q_i V^C_i$$



# Macroseismic Method Implementation with statistical data available on census tracts

$$V = V^* + \sum_k q_k \Delta V_m + \sum_k r_k \Delta V_r + \sum_{j=1}^3 \sum_{S=B}^E m_j \Delta V_{S_{M,j}} + \sum_{j=1}^3 \sum_{S=B}^E rc_j \Delta V_{S_{RC,j}}$$



# Macroseismic and mechanical methods implementation with specific surveyed data available for each single building

$V_0$  Typological Vulnerability Index

$\Delta V_m$  Behaviour Modifier Factor

	<i>Vertical Structures</i>	<i>Horizontal Structures</i>	<i>Age</i>	<i>Subsequent Intervention</i>
M1	A-E-T			
M2	B-C-F		<1945	
M3	D-G			
M4	L-M- H- I	A-B		
	L-M- H- I		<1919	
M5	L-M	F-G		
M6	L-M- H- I	C-D		
	L-M		<1945	
M7	L-M- H- I			
	H- I			
	L-M		>1946	
			>1946	

## Example of quick survey form

Aggregate code

Building code

House number

Number of floors

$H_{MAX}$

$H_{MIN}$

Use (residential, production..)

Age

Subsequent intervention

Vertical structure type

Horizontal structure type

Roof structure type

Connection between structural elements

Non structural elements

Building condition

# Macroseismic and mechanical methods implementation with specific surveyed data available for each single building

$V_0$  Typological Vulnerability Index

$\Delta V_m$  Behaviour Modifier Factor

<i>Vulnerability Factors</i>	<i>Parameters</i>	
State of preservation	Good maintenance	-0,04
	Bad maintenance	+0.04
Number of floors	Low (1 or 2)	-0.02
	Medium (3, 4 or 5)	+0.02
	High (6 or more)	+0.06
Structural system	Wall thickness	-0,04 ÷ +0,04
	Distance between walls	
	Connection between walls (tie-rods, angle bracket)	
	Connection horizontal structures-walls	
Soft-story	Demolition/ Transparency	+0.04
Plan Irregularity	...	+0.04
Vertical Irregularity	...	+0.02
Superimposed floors		+0.04
Roof	Roof weight + Roof Thrust	+0.04
	Roof Connections	
Retrofitting interventions		-0,08 ÷ +0,08
Aseismic Devices	Barbican, Foil arches, Buttresses	
Aggregate building: position	Middle	-0.04
	Corner	+0.04
	Header	+0.06
Aggregate building: elevation	Staggered floors	+0.02
	Buildings of different height	-0,04 ÷ +0,04
Foundation	Different level foundation	+0.04

## Example of quick survey form

Aggregate code

Building code

House number

Number of floors

$H_{MAX}$

$H_{MIN}$

Use (residential, production..)

Age

Subsequent intervention

Vertical structure type

Horizontal structure type

Roof structure type

Connection between structural elements

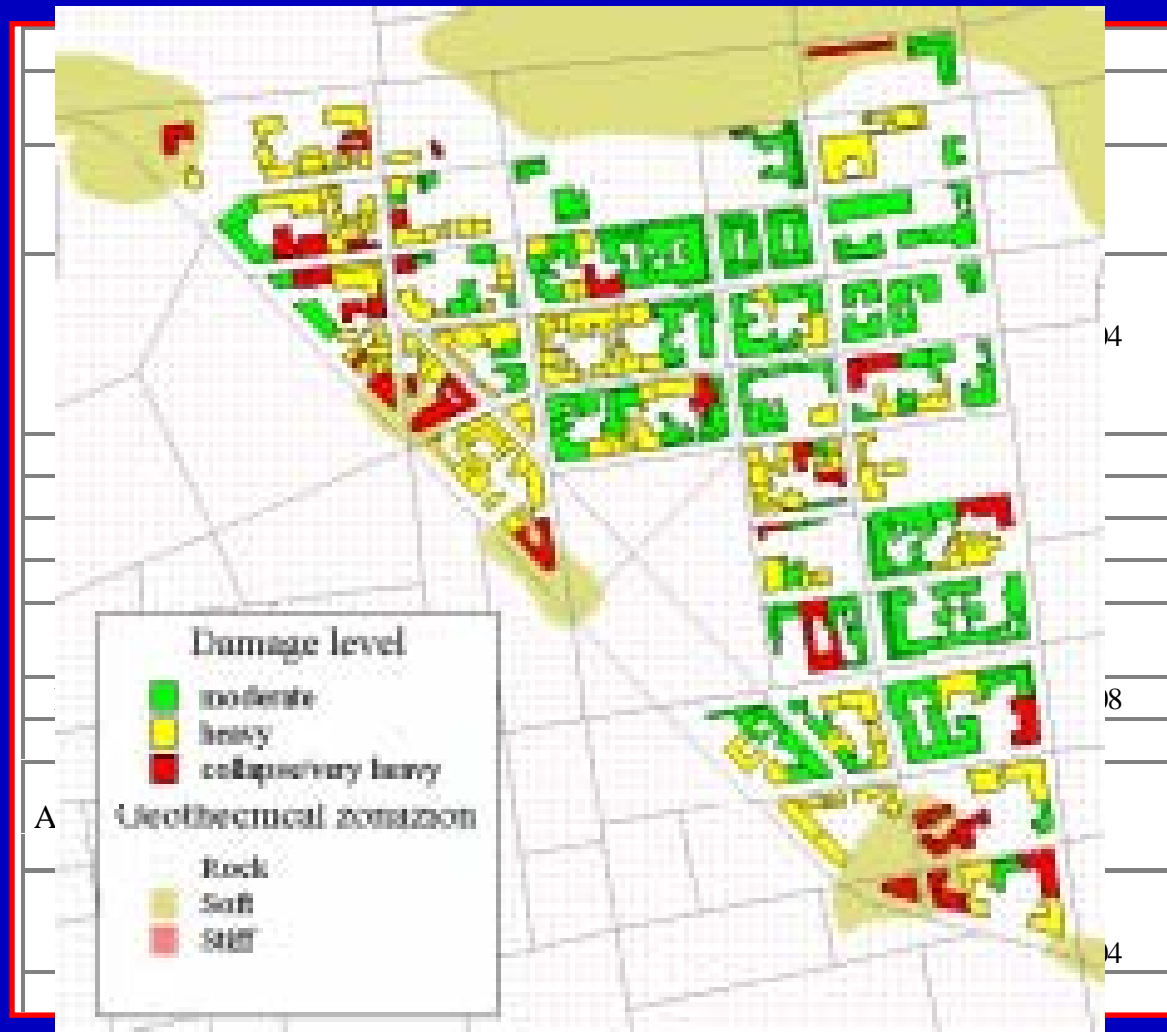
Non structural elements

Building condition

# Macroseismic and mechanical methods implementation with specific surveyed data available for each single building

$V_0$  Typological Vulnerability Index

$\Delta V_m$  Behaviour Modifier Factor



## Example of quick survey form

Aggregate code

Building code

House number

Number of floors

$H_{MAX}$

$H_{MIN}$

Use (residential, production..)

Age

Subsequent intervention

Vertical structure type

Horizontal structure type

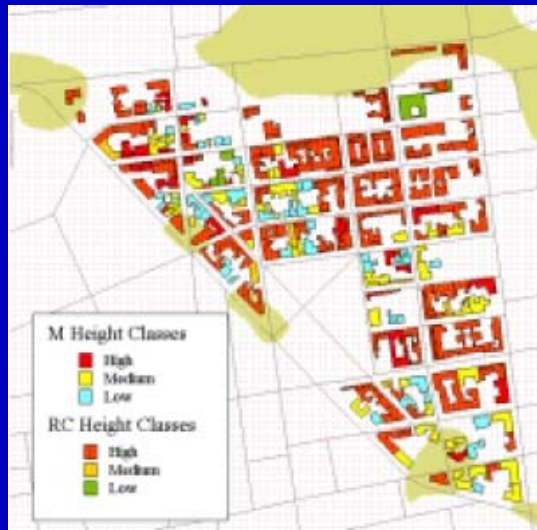
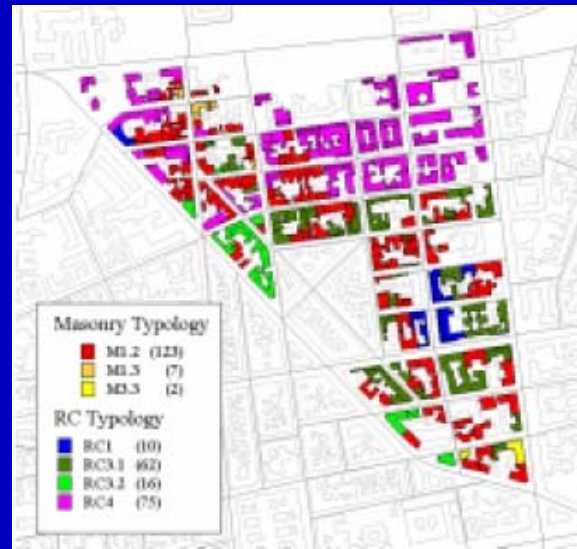
Roof structure type

Connection between structural elements

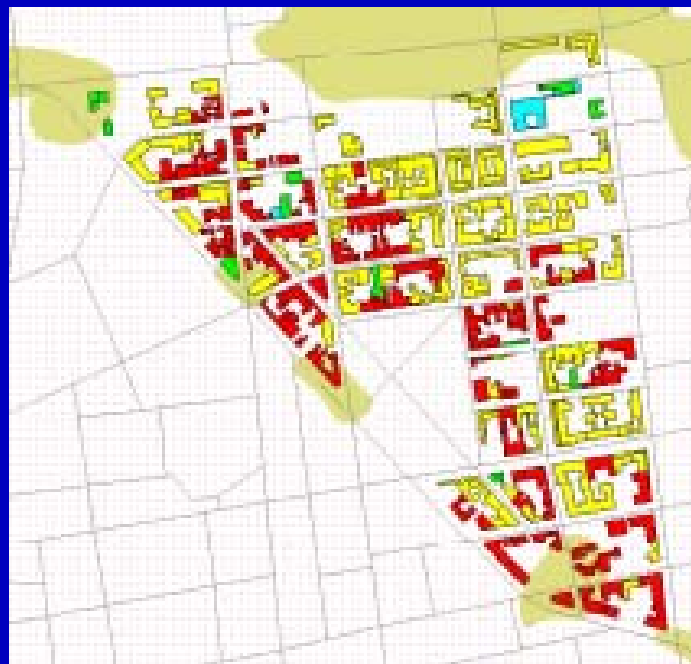
Non structural elements

Building condition

# Mechanical methods implementation with specific surveyed data available for each single building



*Identification of building type and height for the Catania test zone*



*Distribution of average damage level*

Italian National research project  
"Earthquake scenario in Western Liguria,  
Italy, and strategies for the preservation of  
historic centres"



Civil  
Protection  
Department

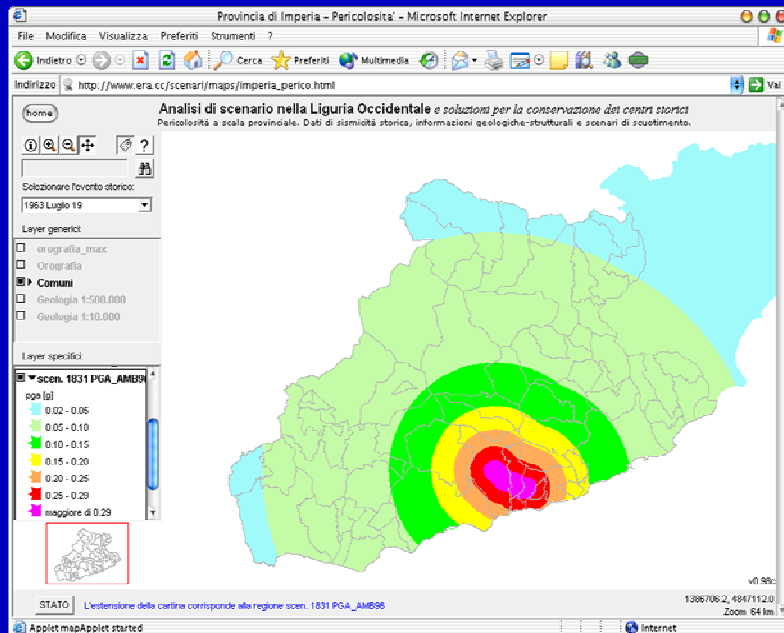


National Institute for  
Geophysics and  
Vulcanology



National Group for  
the Defense from  
Earthquakes

<http://adic.diseg.unige.it/gndt-liguria>



Data and results of each  
steps have are available on  
the WEB



# Simulation of Western Liguria - 23 February 1887 earthquake

## Macroseismic Scenario

	1887 - Macroseismic			
CONSEQUENCES ON BUILDINGS	Masonry	R.c.	All	%
Unfit for use building	3775	563	4337	<b>8.8</b>
Collapsed Building	208	15	223	<b>0.5</b>
CONSEQUENCES ON PEOPLE	Masonry	R.c.	All	%
People requiring short term shelter	10317	<b>0.2%</b>	17017	<b>8.1</b>
Dead and severely injured people	182	71	253	<b>0.1</b>



## Mechanical Scenario

	1887 - Mechanical			
CONSEQUENCES ON BUILDINGS	Masonry	R.c.	All	%
Unfit for use building	4706	1102	5808	<b>11.8</b>
Collapsed Building	530	69	599	<b>1.2</b>
CONSEQUENCES ON PEOPLE	Masonry	R.c.	All	%
People requiring short term shelter	14150	<b>0.6%</b>	25477	<b>12.1</b>
Dead and severely injured people	552	257	809	<b>0.4</b>

- 509 dead over a population of 49.000 people (thus 1% of the whole population)
- 212 people dead because of the roof collapse of the church in Baiardo
- **0.6% of the population inside ordinary buildings**

	Number			Percentage	
	All	R.c.	Masonry	Rc	M
Number of Buildings	49372	17733	31639	36%	64%
Number of Hinabitants	211349	126616	84733	60%	40%

# Alternative retrofit solutions and strategies for pre'70 R.C. buildings

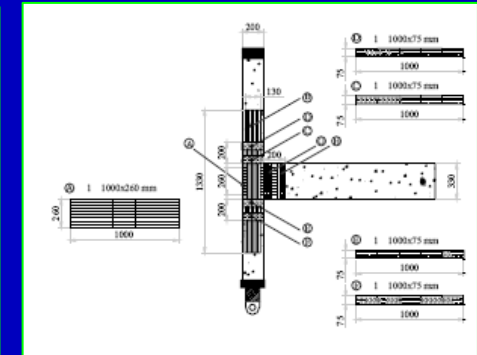
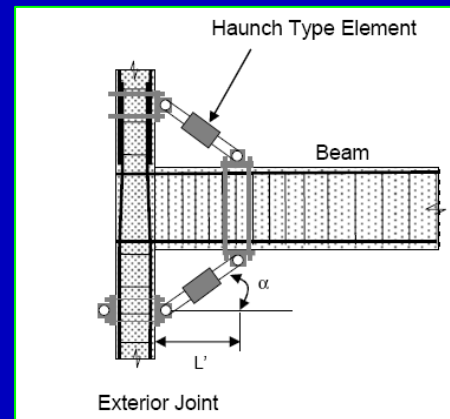
## Retrofit Solutions: Conventional Techniques

- braces
- jacketing or infills



## More recent approaches

- supplemental damping devices
- advanced materials (FRP, SMA)
- diagonal metallic haunches



## TARGETED OBJECTIVES

### MULTI - LEVEL RETROFIT

alternative objectives targeted in terms of hierarchy of strength within the beam-column-joint

Total Retrofit i.e.  
full upgrade by protecting all joint panel zones

Partial Retrofit i.e.  
partial upgrade by protecting exterior joints

### SELECTIVE UPGRADING

Independently upgrades stiffness, strength or ductility-only of a single member

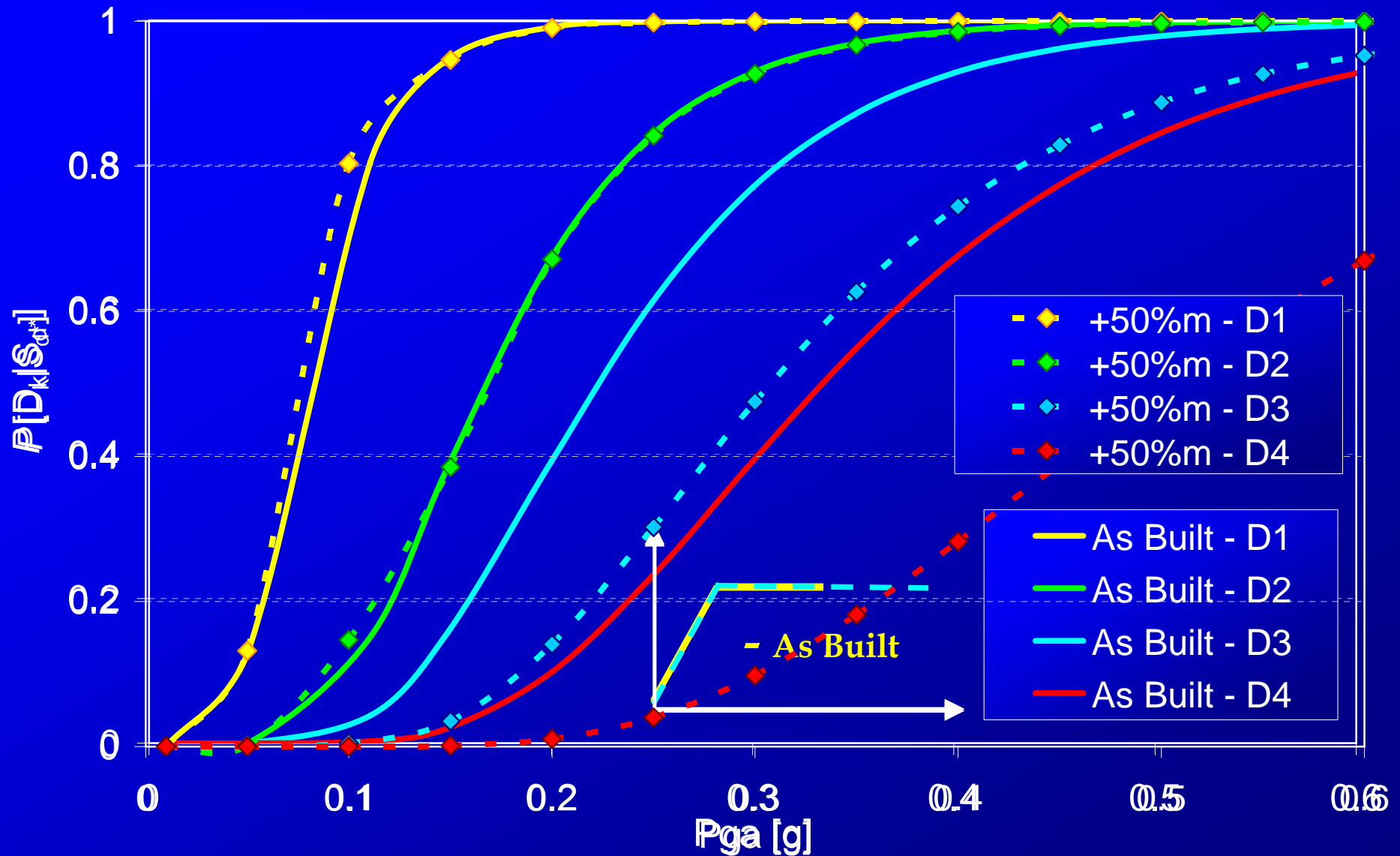
### SELECTIVE WEAKENING

Re-enhancing strength and dissipation capacity

# Representation of alternative retrofitting strategies within the proposed vulnerability methods

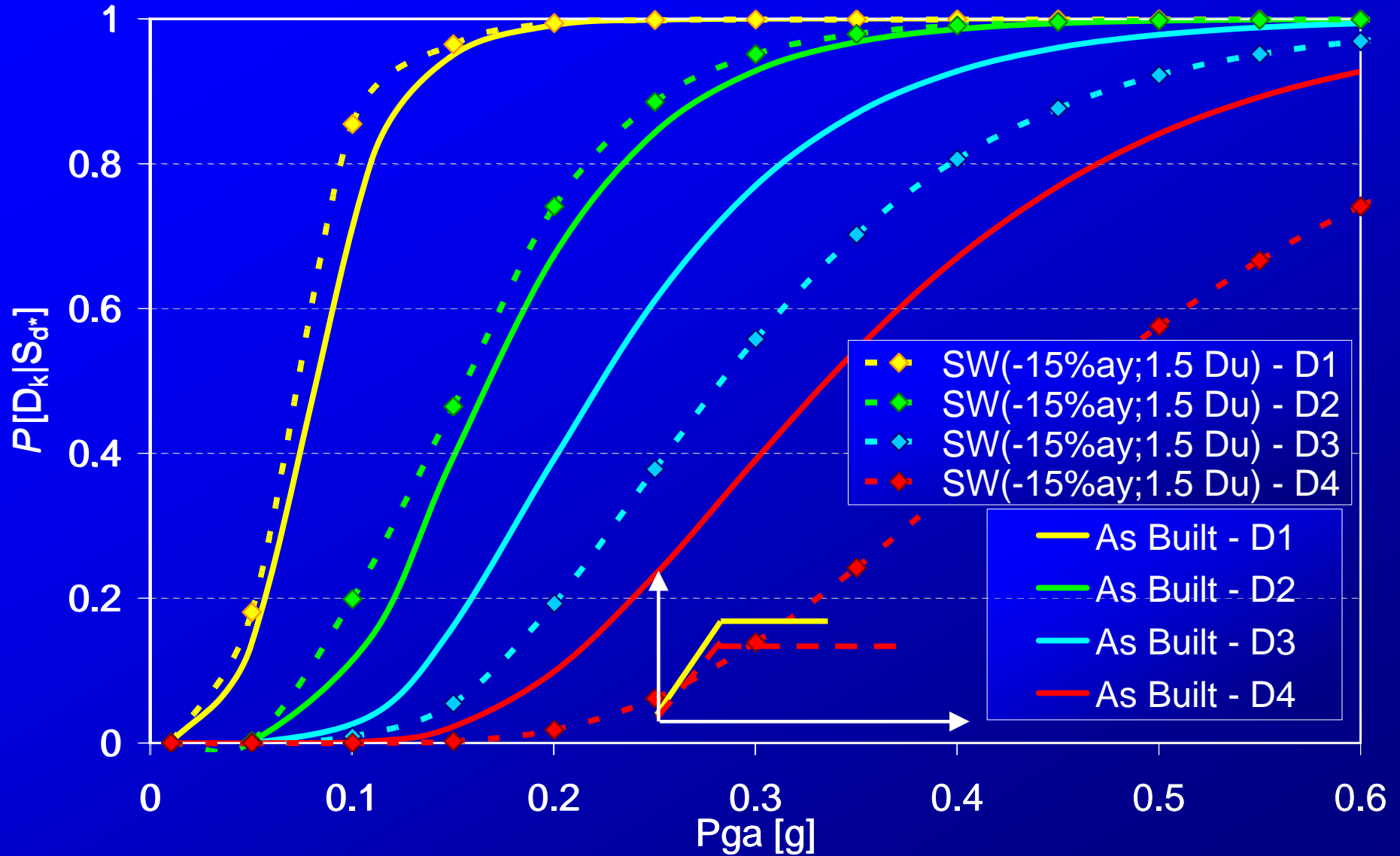
SELECTIVE UPGRADE

Ductility Only

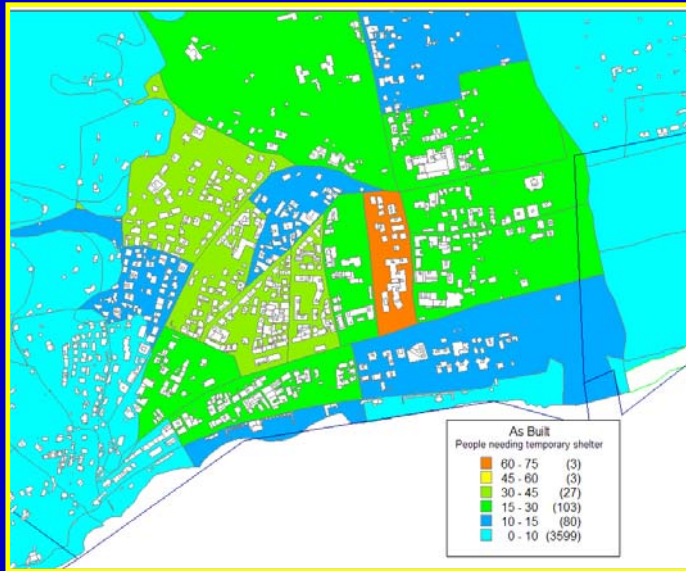


# Representation of alternative retrofitting strategies within the proposed vulnerability methods

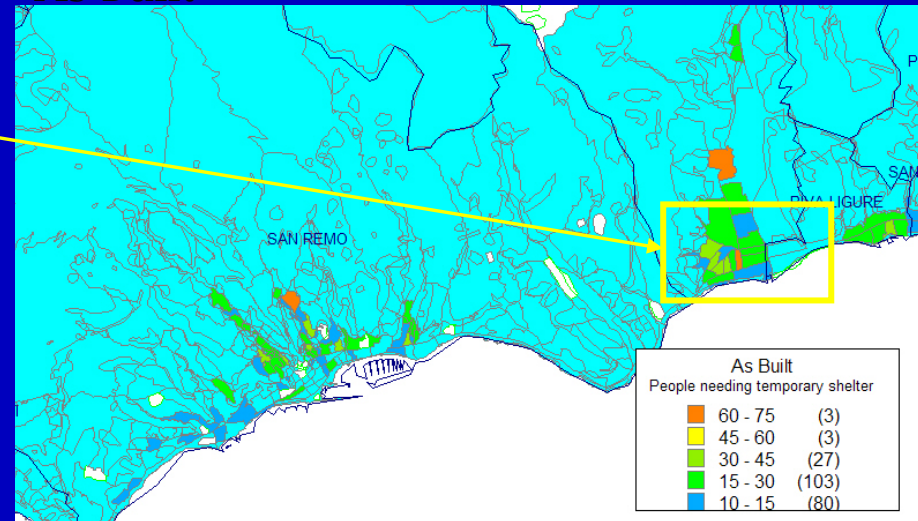
## SELECTIVE WEAKENING



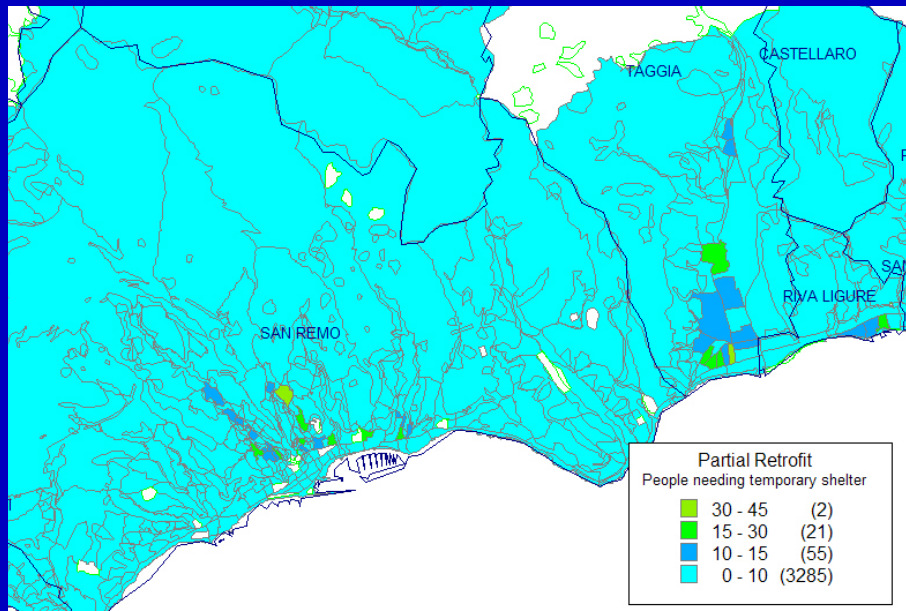
# Damage SCENARIO: people needing temporary shelter



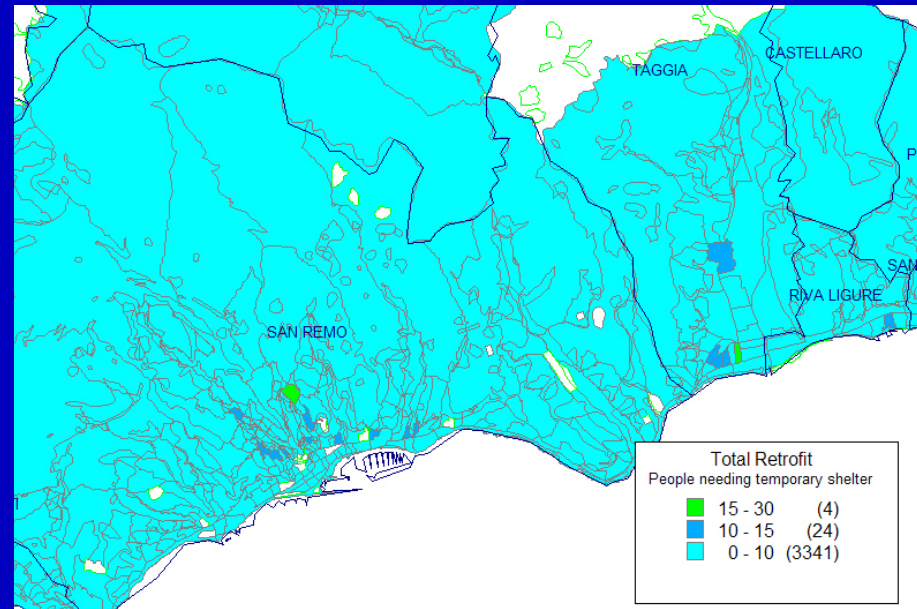
## As Built



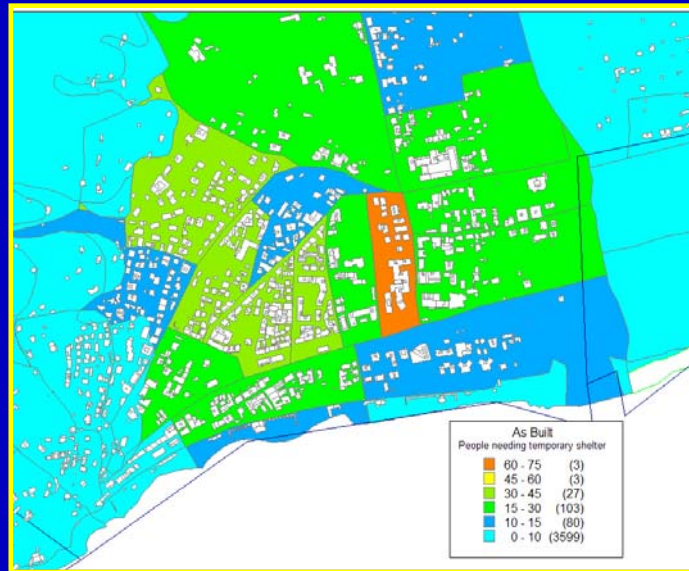
## Partial Retrofit



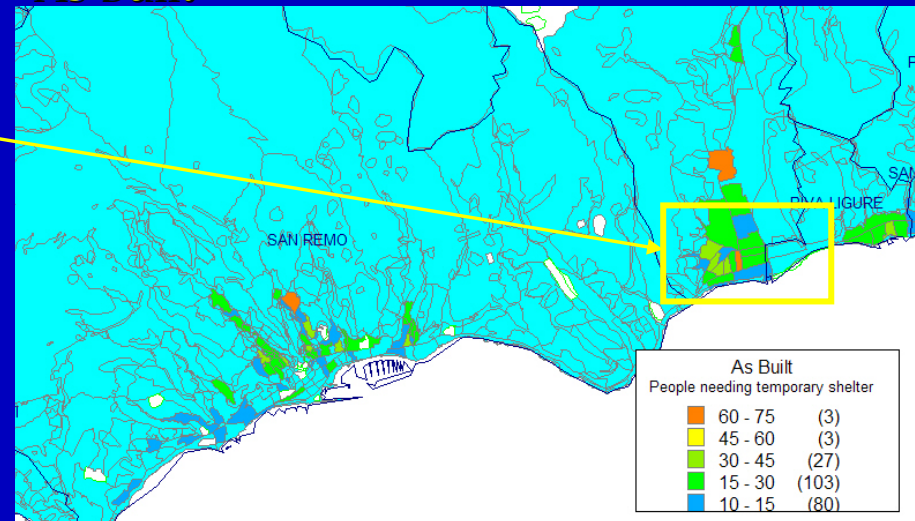
## Total Retrofit



# Damage SCENARIO: people needing temporary shelter



## As Built



## Partial Retrofit

## Total Retrofit

Damage scenario for the 1887 event		As Built				Partial Retrofit	Total Retrofit
Building Typology		URM	R.C.		R.C.	R.C.	
Class of Age		All	<'71	'71-'81	<'71	<'71	
BUILDINGS	Unfit for use	3775	<u>480</u>	135	6	<u>242</u>	<u>183</u>
	Collapsed	208	<u>15</u>	3	0	<u>4</u>	<u>2</u>
PEOPLE	Requiring short term shelter	10317	<u>6129</u>	1118	89	<u>2999</u>	<u>2182</u>
	Casualties and severely injured	182	<u>79</u>	9	0	<u>20</u>	<u>10</u>

10 - 15 (55)  
0 - 10 (3285)

0 - 10 (3341)

# CONCLUSIONS

## VULNERABILITY MODELS:

- Macroseismic Method derived from EMS-98 macroseismic scale
- Mechanical Method for non-designed masonry building typologies  
for designed reinforced concrete buildings
- Equivalent Macroseismic-Mechanical Approaches in order to reciprocally calibrate, to tune and to verify that reliable and comparable results are obtained with the two

## DAMAGE SCENARIO:

- The methods can be employed either with properly surveyed data or with statistical existent data of different origin and quality
- A different uncertainty is associated with the vulnerability assessment and the consequent damage evaluation depending on the reliability of data available for the analysis
- Easy implementation in a GIS environment

## RISK MITIGATION AND RISK ANALYSIS APPLICATIONS:

The use of these methods for risk mitigation purposes has become an effective tool

GNDT Project Earthquake Risk scenarios in Western Liguria and strategies for the preservation of historic centres

Risk-UE Project An advanced approach to earthquake risk scenarios with application to European towns

Munich-RE Reinsurance Company applications of the proposed methods for insurance and reinsurance industry

Real-time damage scenarios tool for Liguria Region Civil Protection Department

# “Scenario Sismico”: a tool for real time damage scenarios



Scenario Sismico - Elaboration  
 Scenario Sismico - Visualisation

A) Epicentre coordinates:  
 - Gauss-Boaga  
 - Geographic reference

Options for the earthquake definition:  
 A) Epicentre coordinates  
 B) Epicentre located at urban level

B) Urban epicentre

**Scenario Sismico**

File Modifica Visualizza ?

Calcolo

Scelta Coordinate

**Coordinate Epicentro:**  
 Sistema Gauss-Boaga: X= 0 Y= 0  
 Sistema geografico: Long= 0 Lat= 0

Magnitudo: Profondità Sorgente:

Scelta Località

Intensità epicentrale: Profondità Sorgente:

Partizione Territoriale: IMPERIA

Località epicentro:  
 AIROLE - airole  
 AIROLE - collabassa

**Partizioni Territoriali**

IMPERIA  
 SAVONA  
 GENOVA comune  
 GENOVA provincia  
 LA SPEZIA

Scenario Completo  
 Scenario Intensità

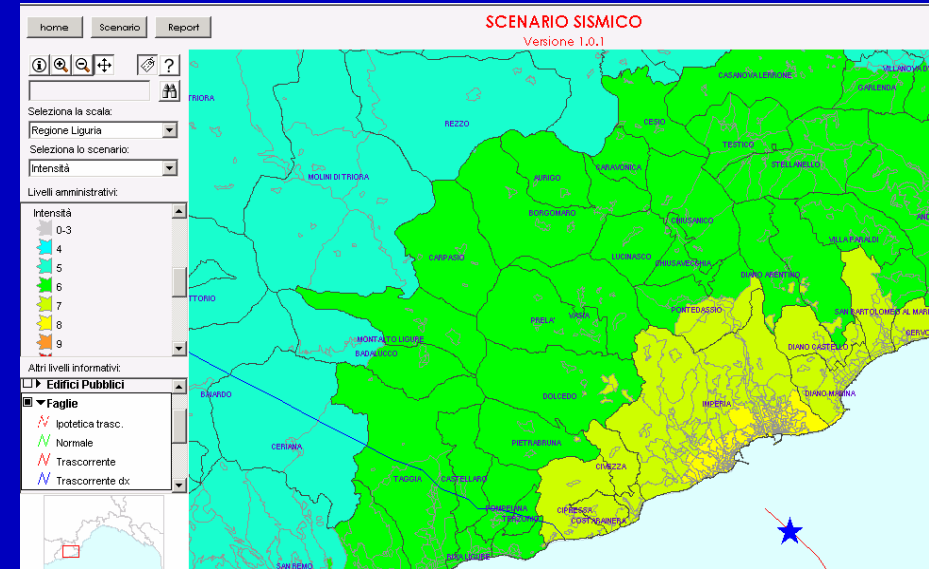
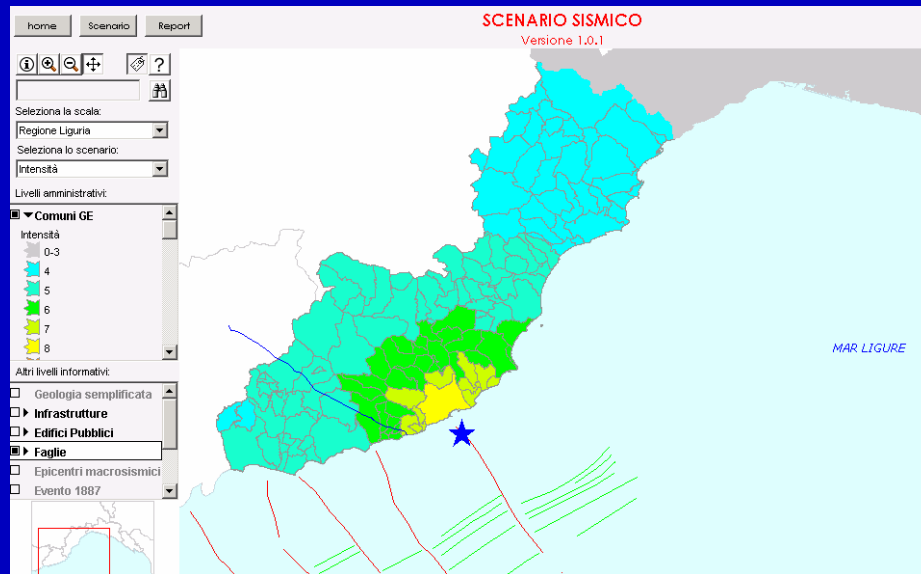
Calcola

Selection of the administrative unit where the scenario is simulated

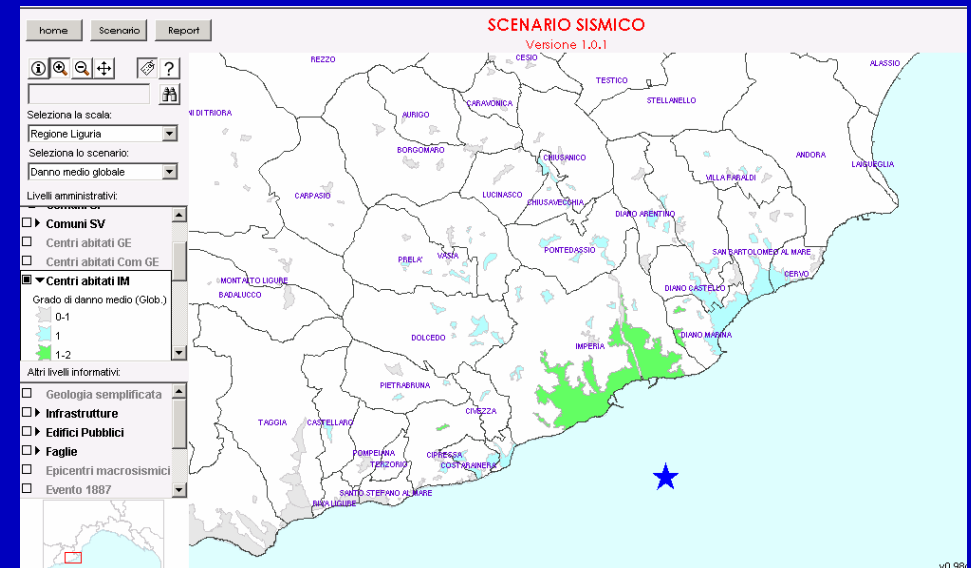
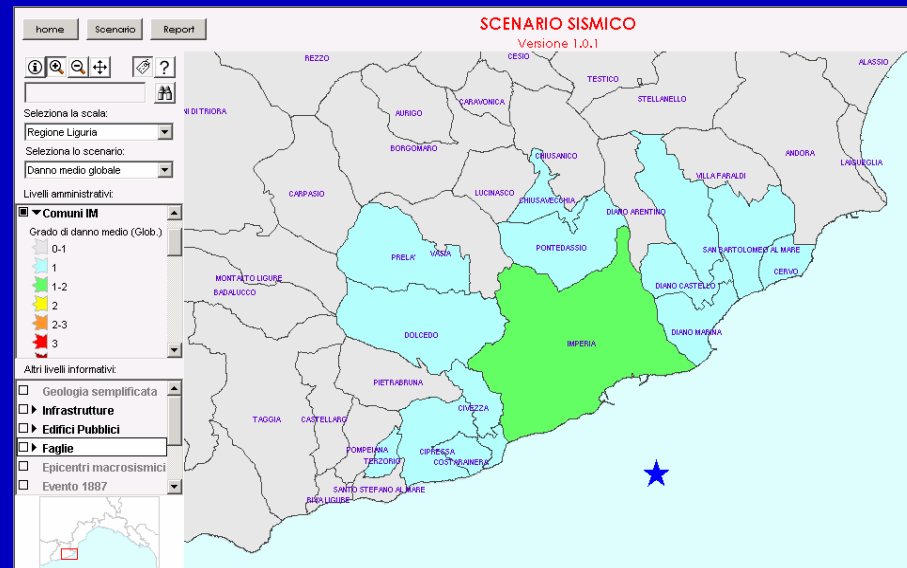
Options for the scenario:  
 - complete  
 - hazard



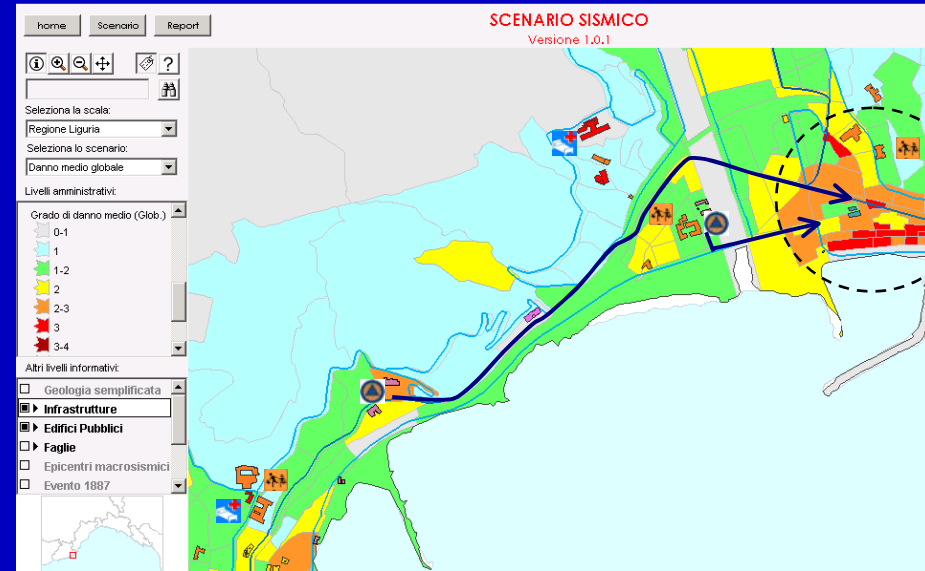
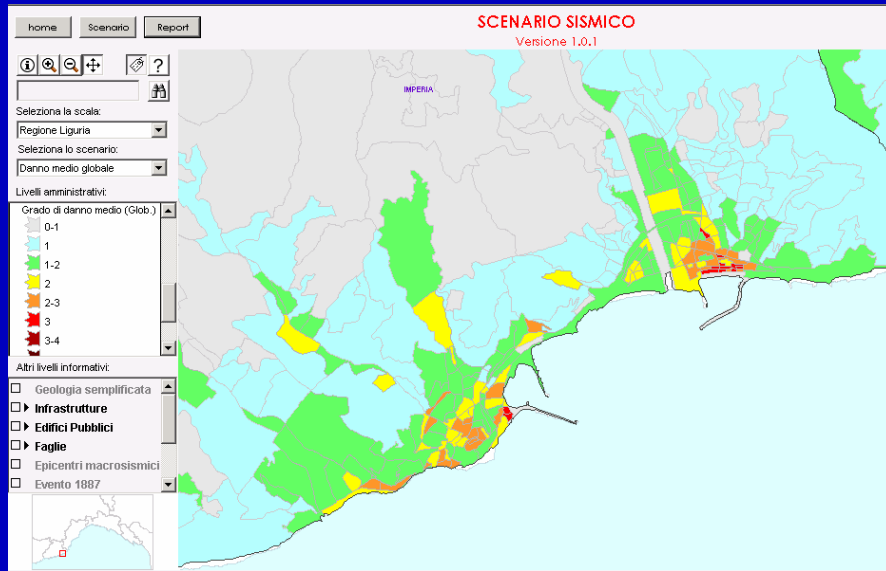
# Macroseismic intensity hazard scenario



# Damage scenario in terms of mean damage grade



# Damage scenario and identification of routes suitable for reaching high affected areas



Consequence scenario at census tract level: a) homeless people and buildings suitable for providing a temporary shelter, b) casualties and health facilities

